

The IL&FS Financial Centre
Plot C-22, G-Block
Bandra Kurla Complex
Bandra East
Mumbai 400 051
India

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Corporate Identification No - L65999MH1986PLC147981

July 11, 2022

The Manager
The Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

The Manager
The Listing Department
National Stock Exchange Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E),
Mumbai 400 051

NSE Symbol: IVC
BSE Scrip Code: 511208
ISIN : INE050B01023

Re : Loss of Share Certificate(s)

Dear Sirs :

Pursuant to Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to submit the information pertaining to Stop Transfer (reason - loss of share certificates) which has been noted in our Registrars and Share Transfer Agent (RTA) database as per an email intimation dated July 9, 2022 received from RTA. Copy of an email and copy of letter from investor is enclosed herewith for reference

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,



Sanjay Mitra
Company Secretary

Encl: as above



Stop Transfer Intimation under Regulation 39(3) of SEBI LODR (2015)instamisreports to: Mona Abhani, minal Dandekar 09-07-2022 04:01
Cc: saili.lad, sharmila.amin

1 Attachment



ID16402.pdf

Dear Team,

As per the Regulation 39(3) of SEBI (Listing obligations and disclosure requirement), Regulation 2015, we are sending herewith information pertaining to Stop Transfer which we have already noted in our database. Please find attached letter received from the Investor.

Client Name : IL&FS Investment Managers Limited

Stop Transfer Date	Folio No	Name	Certificate No.	Distinctive No.	No. of Shares	Reason
08 Jul 2022	0060003	SUMEET SONI	6697	6781586 - 6782145	560	Lost By Hold

Regards

Link Intime India Pvt Ltd.

This is an auto generated report.



LETTER RECEIVED
VIA EMAIL

Inward

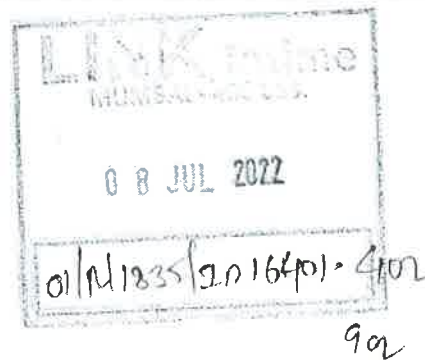
From: Mohini Tayade <mohini.tayade@linkintime.co.in>
Sent: Thursday, July 7, 2022 12:57 PM
To: Inward Linkintime
Cc: Mohini Tayade
Subject: KYC Updates for Duplicate Share Certificate
Attachments: IL & FS KYC.pdf

Dear Team,

Please find the attachment for the KYC details of Duplicate Share Certificate.

Unit : IL&FS Investment Managers Limited Folio/Demat a/c : 0060211, 0060003

Regards,
Mohini Tayade



Date: _____

To,

LINK INTIME INDIA PVT. LTD, C 101,
247 PARK, L.B.S. MARG, VIKHROLI
(WEST), MUMBAI, MAHARASHTRA, 400083

From,

SUMEET SONI
4/B CRESTMONT VILLA
46 CAPERIDGE DRIVE
PENINSULA VILLAGE, DISCOVERY BAY
LANTAU NEW TERRITORIES, HONG KONG

Unit : IL&FS INVESTMENT MANAGERS LTD.

Folio No.: 0060211

Subject : Request for issue Formalities for Duplicate Share Certificate, Pending Dividend details & KYC Update.

Dear Sir,

This is to inform you that I am holding some shares of IL&FS INVESTMENT MANAGERS LTD. under the above-mentioned folio number. My share certificates have misplaced and I would like to know the formalities of Duplicate Shares and also provide me the details of unclaimed pending Dividend since I am not receiving dividend from couple of years. I am also attaching herewith my KYC documents required by you to update records. Kindly provide us the following details:

1. DUPLICATE OF SHARES: Please send me the formality for the issuance of a duplicate share certificate.
2. CONFIRMATION OF HOLDINGS: Kindly provide me the details of my total shareholdings along with certificate number and distinctive numbers.
3. PENDING DIVIDEND DETAILS: Please share the total holding of Shares and details of unclaimed dividend.

I request you to please do the needful and oblige.

Thanking You,

Regards

Sumet Soni

SUMEET SONI

Encl.

1. ISR-1
2. ISR-2
3. ISR-4
4. PAN
5. Aadhaar
6. Passport
7. OCI Card

Form ISR – 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF (For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form)

Date: ___/___/___

A. I / We request you to Register / Change / Update the following (Tick relevant box)

<input checked="" type="checkbox"/> PAN	<input checked="" type="checkbox"/> Bank details	<input checked="" type="checkbox"/> Signature
<input checked="" type="checkbox"/> Mobile number	<input checked="" type="checkbox"/> E-mail ID	<input checked="" type="checkbox"/> Address

B. Security Details:

Name of the Issuer Company	IL&FS INVESTMENT MANAGERS LTD.	Folio No.: 0060211
Name(s) of the Security holder(s) as per the Certificate(s)	1. <u>SUMEET SONI</u> 2. 3.	
Number & Face value of securities	face value Rs. 2/- each	
Distinctive number of securities (Optional)	From _____, To _____	

C. I / We are submitting documents as per Table below (tick as relevant, refer to the Instructions):

	<input checked="" type="checkbox"/>	Document / Information / Details	Instruction / Remark
1		PAN of (all) the (joint) holder(s)	
		PAN	
		Whether it is Valid (linked to Aadhaar): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	ACCPS4083K PAN shall be valid only if it is linked to Aadhaar by March 31, 2022* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4
2		Demat Account Number	
			IN30021426652401 Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

3	Proof of Address of the first holder	<p>Any one of the documents, only if there is change in the address;</p> <p><input type="checkbox"/> Client Master List (CML) of your Demat Account, provided by DP. Valid Passport/ Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill.</p> <p><input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</p> <p><input type="checkbox"/> Identity card / document with address, Issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</p> <p><input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</p> <p><input type="checkbox"/> The proof of address in the name of the spouse</p>
4	Bank details	<p>Account Number: <u>01721050003617</u> Bank Name: <u>KOTAK MAHINDRA BANK</u> Branch Name <u>G-F, 3A-3J GROUND FLOOR, AMBA DEEP 14 KASTURBA GANDHI MARG NEW DELHI-110001.</u> IFS Code <u>KKBK0000172</u></p> <p>Provide the following: <u>original cancelled cheque</u> with name of security holder printed on it or <u>Bank Passbook</u> or <u>Bank Statement</u> attested by the Bank #</p>
5	E-mail address	<u>sumeetsoni111@gmail.com</u>
6	Mobile	<u>85264055244</u>

* or any date as may be specified by the CBDT (DP: Depository Participant)
In case it is not provided, the details available in the CML will be updated in the folio
Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our folio (s) 0060211, (use Separate Annexure if extra space is required) in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	Holder 1	Holder 2	Holder 3
Signature	✓ <u>Sumeet Soni</u>		
Name	<u>SUMEET SONI</u>		
Full address	<u>4/B CRESTMONT VILLA 46 CAPERIDGE DRIVE PENINSULA VILLAGE, DISCOVERY BAY LANTAU NEW TERRITORIES, HONG KONG</u>		
PIN			

Form ISR - 2

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

Confirmation of Signature of securities holder by the Banker

1. Bank Name and Branch	KOTAK MAHINDRA BANK G-F 3a-3j GROUND FLOOR, AMBA DEEP, 14 KASTURBA GANDHI MARG NEW DELHI 110001	
2. Bank contact details	Postal Address Phone number E-mail address	
	+91 8373918133 NEELAM.SINGH@KOTAK.COM	
3. Bank Account number	01721050003617	
4. Account opening date	28/2/2011	
5. Account holder(s) name(s)	1) SUMEET SONI 2) 3)	
6. Latest photo of account holder(s)	 <div style="display: flex; justify-content: space-around; margin-top: 10px;"> <div style="border: 1px solid black; padding: 5px; text-align: center;">2nd Holder</div> <div style="border: 1px solid black; padding: 5px; text-align: center;">3rd Holder</div> </div>	
7. Account holder(s) details as per Bank Records		
a) Address	4/B CRESTMONT VILLA, 46 CAPERIDGE DRIVE, PENINSULA VILLAGE DISCOVERY BAY LANTAU NEW TERRORIES, HONG KONG	
b) Phone number	+85264055244	
c) Email address	sumeetsoni111@gmail.com	
d) Signature(s)	1)  2)  3) 	
Seal of the Bank	Signature verified as recorded with the Bank	
Place: Delhi	 (Signature) Name of the Bank Manager Employee Code E-mail address	
Date: 29/8/22	 Name of the Bank Manager Employee Code E-mail address	

Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAM6/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests
(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: ___ / ___ / ___

A. Mandatory Documents / details required for processing all service request:
I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick ✓ as relevant, refer to the instructions):

- Demat Account No. (if available):
Provide Client Master List (CML) of your Demat Account from the Depository Participant*
- Provide the following details, if they are not already available with the RTA (see SEBI circular dated November 03, 2021 in this regard)

PAN ACCPS4083K	Specimen Signature
Nomination / Declaration to Opt-out	<i>Sumeet Soni</i>

* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.

B. I / We request you for the following (tick ✓ relevant box)

<input type="checkbox"/> Issue of Duplicate certificate	<input type="checkbox"/> Claim from Unclaimed Suspense Account
<input type="checkbox"/> Replacement / Renewal / Exchange of securities certificate	<input type="checkbox"/> Endorsement
<input type="checkbox"/> Sub-division / Splitting of securities certificate	<input type="checkbox"/> Consolidation of Folios
<input type="checkbox"/> Consolidation of Securities certificate	<input type="checkbox"/> Transmission
<input type="checkbox"/> Transposition (Mention the new order of holders here)	

C. I / We are enclosing certificate(s) as detailed below:**

Name of the Issuer Company	IL&FS INVESTMENT MANAGERS LTD.
Folio Number	0060211
Name(s) of the security holder(s) as per the certificate(s)	1. <u>SUMEET SONI</u> 2. 3.
Certificate numbers	
Distinctive numbers	
Number & Face value of securities	

** Wherever applicable / whichever details are available

D. Document / details required for specific service request:

- I. Duplicate securities certificate
- II. Claim from Unclaimed Suspense Account

Securities claimed	(In numbers) (In words)
--------------------	----------------------------

- III. Replacement / Renewal / Exchange of securities certificate
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV. Endorsement
- V. Sub-division / Splitting of securities certificate
- VI. Consolidation of securities certificate/Folios
- VII. Transmission
- VIII. Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature	<i>Sumeet Soni</i>	✓	✓
Name	<u>SUMEET SONI</u>	✓	✓
Full address	4/B CRESTMONT VILLA 46 CAPERIDGE DRIVE PENINSULA VILLAGE, DISCOVERY BAY LANTAU NEW TERRITORIES, HONG KONG		
PIN	✓ <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.

Form ISR - 3

Declaration Form for Opting-out of Nomination by
holders of physical securities in Listed Companies

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CJR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

[Under Section 72 r/w Section 24 (1) (a) of Companies Act, 2013 r/w Section 11(1) and 11B of SEBI Act, 1992 and Clause C in Schedule VII and Regulation 101 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]]

Name of the Company: IL&FS INVESTMENT MANAGERS LTD.

Registered Address of the Company: PLOT NO C-22, G BLOCK, THE IL&FS FINANCIAL CENTRE, BANDRA KURLA COMPLEX, BANDRA (E), MUMBAI, MAHARASHTRA, 400051 .

I / we SUMEET SONI the holder(s) of the securities particulars of which are given hereunder, do not wish to nominate any person(s) in whom shall vest, all the rights in respect of such securities in the event of my /our death. PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

Nature of securities	Folio No.	No. of securities	Certificate No.	Distinctive No.
EQUITY	0060211			

I/ we understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents / details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities

Name(s) and Address of Security holders(s)

Sumeet Soni

Signature(s) Sole / First Holder Name: .

SUMEET SONI

Address: 4/B CRESTMONT VILLA 46
CAPERIDGE DRIVE PENINSULA VILLAGE,
DISCOVERY BAY LANTAU NEW TERRITORIES,
HONG KONG

Name and Address of Witness	Signature



Sunjet Soni



भारत सरकार
Unique Identification Authority of India

नामांकन क्रम / Enrollment No.: 2180/53329/03140

To
सुरेश सोनी
Suresh Soni
S/O: Suresh Soni
552 East Patel Nagar
Patel Nagar
Patel Nagar
New Delhi Central Delhi
Delhi 110008
9871004570

18032018
11142857



ME134226575FH



आपका आधार क्रमांक / Your Aadhaar No. :

3475 8694 7744

मेरा आधार, मेरी पहचान

भारत सरकार
Unique Identification Authority of India

सुरेश सोनी
Suresh Soni
जन्म तिथि / DOB 07/07/1977
पुंर / Male



3475 8694 7744



मेरा आधार, मेरी पहचान

Suresh Soni



Client Master List

Print Date: 03 Jun 2022 11:41:11 AM
Document Code: 01 Jun 2022

DP: Kotak Securities Ltd | DP ID: IN300214 |
4th Flr, Kotak Tower, Bldg No 21, Infinity Park, Off Western Express Highway,
Gurgaon, Haryana, India | Member: 0001077, Tel: 4225982, 4225983

Client ID	26692601	Standing Instruction For Credit	Yes
Short Name	K.SPOA	Beneficiary Status	Active
Account Category	Non House Beneficiary	Account Opening Date	08/01/2021
Client Type	NRI	Status Change Reason	
Client Sub Type	NRI - NRI Non Repatriable	Closure/Suspension Date	
Main Branch	000017 - KS NT	ESDA Flag	No
Standing Instruction For Auto Pledge	No	RGSS Flag	No
Personal Details :			
Solo / First Holder	SUMEET SONI	Occupation	Service
Father / Husband Name	SURINDER KUMAR SONI	Date of Birth	07/07/1977
Local Address	352, EAST PATEL NAGAR NEW DELHI 8 INDIA NEW DELHI DELHI, NEW DELHI, INDIA	Other Address	4-B, BLOCK-46, CRESTMONT VILLA, DISCOVERY BAY, PENINSULA VILLAGE Hongkong, HONG KONG
Pincode	110008	First Holder GSTIN No	
Phone No.		Pin Code	
Fax No.		Phone No.	
		Fax No.	
Financial Details :			
Bank Account Type	Savings	IFSC Code	KK350000172
Bank Account No	01721090003617	Tax Ded. Status	INDIVIDUAL
Bank Name	KMBL	SEBI Reg No.	Not Applicable
Bank Address	GF 3RD GROUND FLOOR AMBA DEEP 14 EASTURBA GANDHI MARG, Delhi	MIUR Code	110485062
Pincode	110001	RBI Approval Date	23/10/2020
RBI Reference No	2021N00178		
Other Details :			
Solo / First Holder	PAN: ACCPS469JK	PAN Flag	Verified
	Mobile No: 08224053244	SMS facility	Yes
	Email: SUMEETSONI11@GMAIL.COM	Aadhar Id	
Trading Details :			
Trading Id	S10LV	Exclude Trading Id	N
Trading Name	SUMEET SONI	Third Party	
		Ignore SBOSS Trading Id	N



Summit Soni

