

Ref No.: LIL/REG7/SE/CS/2020-21

Date: May 11, 2020

The National Stock Exchange of India Limited Listing Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051	BSE Limited Listing Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001
Symbol: LUMAXIND	Security Code: 517206

Subject: Submission of Compliance Certificate in terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020

Dear Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate duly signed by both the Compliance Officer of the Company and the Authorised Representative of our Registrar & Share Transfer Agent i.e. KFin Technologies Private Limited for the period from October 1, 2019 to March 31, 2020.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**



PANKAJ MAHENDRU
COMPANY SECRETARY
M.NO. A-28161



Encl: as stated above

ISIN: INE162B01018
BSE Scrip Code: 517206
NSE Symbol: LUMAXIND

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFin Technologies Private Limited (KFIN) Company's Registrar and Transfer Agent is providing Registry and Share Transfer services to LUMAX INDUSTRIES LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

Further, we wish to state that the Company is adopting "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended March 31, 2020 i.e. for the period from October 1, 2019 to March 31, 2020, pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015.

For **KFin Technologies Private Limited**



Ganesh Patro
Senior Manager

Place : Hyderabad
Date : 8th May, 2020

For **Lumax Industries Limited**



Pankaj Mahendru
Company Secretary & Compliance Officer



Place : New Delhi
Date : 8th May, 2020