

TFL/SEC/2019-20/29

22/10/2019

The Manager,
Corporate Relations Dept.,
BSE Ltd.,
P.J. Towers,
Dalal Street, Fort
Mumbai

The Manager
Listing Department
National Stock Exchange of India Ltd.
Bandra Kurla Complex
Bandra (East)
Mumbai

BSE Scrip Code: 532812

NSE Scrip Code: TFL

Dear Sir,

Sub: Compliance Certificate under Regulation 40(10) of the Listing Regulations

We are enclosing herewith the Compliance Certificate from the Practicing Company Secretary under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended on 30.09.2019.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Transwarranty Finance Limited


Company Secretary



Encl : as above

CIN : L65920MH1994PLC080220

YOGESH SHARMA

COMPANY SECRETARY

Yogesh M. Sharma

M.Com, M.Phil, M.M.M, M.F.M, LLB, ACS.

Phone Office : 25952322/25952975

Email ID: csymsharma@gmail.com

Mob No. 9833124864

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI LISTING REGULATIONS 2015
FOR THE HALF YEAR ENDED 30th September, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **TRANSWARRANTY FINANCE LIMITED** having its registered office 403, Regent Chambers, Nariman Point, Mumbai 400021 maintain by **LINK INTIME INDIA PRIVATE LIMITED** pertaining to transfer of equity shares of the company for the period from 01/04/2019 to 30/09/2019 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI Listing Regulations 2015 entered into by TRANSWARRANTY FINANCE LIMITED with BSE and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30/09/2019.

- A) Share Certificate relating to the transfer of shares received during the period from 01/04/2019 to 30/09/2019 as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgement for the transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies from respective date of lodgement of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable - Nil

Place: Mumbai

Date: 22nd October, 2019

UDIN: A033235A000138901



Yogesh Sharma
YOGESH M. SHARMA
COMPANY SECRETARY IN
WHOLE TIME PRACTICE.
ACS No. 33235 C.P.-12366