



**Novartis India Limited**  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

October 11, 2021

The Secretary  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Sub.: Certificate in terms of Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“said Regulations”) on behalf of Novartis India Limited (“the Company”) for the quarter ended September 30, 2021**

**Ref.: 1. ISIN - INE234A01025**  
**2. Scrip Code - 500672**

Dear Sir/ Madam,

In reference to the above captioned subject, the certificate dated October 5, 2021, issued by the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited, in terms of the provisions of the said Regulations, for the quarter ended September 30, 2021, is enclosed herewith for your records.

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

**Trivikram Guda**  
**Company Secretary &**  
**Compliance Officer**

Encl.: as above

Date: 05/10/2021

To,  
NOVARTIS INDIA LTD  
INSPIRE BKC, 6TH AND 7TH FLOOR,  
BANDRA KURLA COMPLEX,  
BANDRA (EAST),  
MUMBAI: 400051

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30<sup>th</sup> September, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that Registrars Association of India (RAIN) had vide their representations requested SEBI to consider and extend the aforesaid relaxation up to September 30, 2021. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the relaxation in time lines provided in the aforesaid circular up to July 31, 2021 and within 15 days from August 1, 2021. We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,  
For Link Intime India Pvt. Ltd

  
Suman Shetty  
AVP- Client Relations