

SARASWATI COMMERCIAL (INDIA) LTD.

Regd. Off.: 209 - 210, Arcadia Building, 2nd Floor, 195, Nariman Point, Mumbai - 400 021.
Telephone : 4019 8600 • Fax : 4019 8650 • Email: saraswati.investor@gcvl.in
Website: www.saraswaticommercial.com • CTN : L51909MH1983PLC166605

Date: 20.04.2021

To,
Corporate Relationship Department,
BSE Limited
P.J Towers, 1st Floor,
Dalal Street,
Mumbai - 400 001

Ref: BSE- Scrip code: 512020

Dear Sir/Madam,

Sub: Receipt of prior approval from National Stock Exchange of India Limited

With reference the captioned subject, enclosed herewith please find prior approval received from National Stock Exchange of India Limited for the issue of 29000 Equity shares of Rs. 10/- each to be issued at Rs. 3665/- to Promoters and Non-promoters on a preferential basis. e. for proposed change in Shareholding Pattern of the Saraswati Commercial (India) Limited being Dominant Promoter of the Four Dimensions Securities (India) Limited (Trading member of National Stock Exchange of India Limited) without change in control in accordance with the SEBI circular no SEBI/MIRSD/Cir. No.03/2010 dated January 21, 2010 and NSE Ref. No. : NSE/WRO-MEM/2021/2760 dated April 20, 2021.

Kindly take the same on your records and oblige.

Yours faithfully

For Saraswati Commercial (India) Limited



Avani Sanghavi
Company Secretary & Compliance Officer

Encl: a.a

Ref No: NSE/WRO-MEM/2021/2760

April 20, 2021

FOUR DIMENSIONS SECURITIES (INDIA) LTD.

209/210, 2nd Floor, Arcadia Building,
Plot No. 195, Nariman Point,
Mumbai- 400021

Kind Attention: Mr. Sandeep Kejariwal, Compliance Officer

Dear Sir

Subject: Change in Shareholding pattern

This is with reference to your application pertaining to the captioned subject. In accordance with SEBI circular no SEBI/MIRSD/Cir. No.03/2010 dated January 21, 2010, the Exchange has granted fee clearance to Four Dimensions Securities (India) Limited for the below given change in shareholding details as per Annexure-I and the Exchange has taken note of the same subject to the compliance with applicable requirements as per Companies Act.

You are requested to ensure that:

- There would be no further change in directors and / or the shareholding pattern of Four Dimensions Securities (India) Limited without prior approval from the Exchange.
- The dominant promoters, Ashwin Kothari (0.05%), Winro Commercial (India) Limited (39.52%), Meena Ashwin Kothari (0.00%), Saraswati Commercial (India) Limited (15.99%) and Rohit A Kothari (0.86%) will continue to be dominant promoters and hold at least 51.00 % of the total paid up share capital of the trading member company at all point of time.
- The dominant promoters of Winro Commercial (India) Limited and Saraswati Commercial (India) Limited (holding companies of trading member) will continue to be dominant promoters and will hold minimum required percentage of total paid up share capital of Winro Commercial (India) Limited and Saraswati Commercial (India) Limited respectively as per LODR.
- The trading member will comply with all the requirements of the Exchange as applicable to the corporate trading members.

You are further requested to note that:

- The validity for the aforesaid approval for changes in shareholding will be upto October 31, 2021.
- You are advised to confirm to the Exchange whether the approved changes have been carried out or not latest by November 07, 2021.

- Kindly upload the documents mentioned below through the Integrated Member Portal (<https://www.connect2nse.com/MemberPortal>>ENIT home page>Others>Document Repository) in support of your confirmation.
- ☑ Latest details of shareholding pattern of trading member and / or holding company of trading member duly certified by a practicing Chartered Accountant / Company Secretary after effecting changes.(Annexure C-6 & C-7)
- ☑ Undertaking from relatives/corporate supporting the dominant shareholder, if applicable duly certified by a practicing Chartered Accountant / Company Secretary (Annexure C-8)
- You should not carry out the changes after expiry of the validity period of this approval. In case you wish to carry out this change after expiry of the validity period, you are required to make fresh application to the Exchange.
- In case the above approved changes are carried out after the expiry of the validity period, it would tantamount to change in shareholders without prior approval, which would attract necessary disciplinary action by the Exchange.
- This approval given by the Exchange will automatically lapse to the extent of changes not carried out, in case any fresh proposal for changes in shareholders is received by the Exchange.

Yours faithfully,

For National Stock Exchange of India Limited

Chaitali Kulkarni
Senior Manager
Membership Compliance Department
Western Regional Office
Encl: Annexure I

Annexure I
Equity Shareholding pattern of Four Dimensions Securities (India) Ltd. as on June 12, 2017
Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING	
		No. of Shares	% Holding
1	Rohit A Kothari *	102000	0.86
2	Ashwin Kothari *	6050	0.05
3	Winro Commercial (India) Limited *	4667235	39.52
4	Meena A Kothari *	50	0.00
5	Saraswati Commercial (I) Ltd *	1889193	15.99
Total DPG Shareholding		6664528	56.43

*Dominant Promoter

Non Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING	
		No. of Shares	% Holding
6	Bihariji Securities Private Limited	300000	2.54
7	Heilen Cross Diagnostics Pvt. Ltd.	300000	2.54
8	Murarka Properties Ltd	10000	0.08
9	Geecee Investments Limited	181150	1.53
10	Meeraj Multiweb Industries Ltd	87500	0.74
11	Antique Finance Private Limited	2262154	19.15
12	A. K. Kothari HUF	116400	0.99
13	A K Kothari (S) - HUF	78900	0.67
14	Ashwin Kothari Family trust	1808057	15.31
15	P.C.Kothari HUF	885	0.00
16	Others	1591	0.01
Total Non DPG Shareholding		5146637	43.57
Total Shareholding		11811165	100.00

**Equity Shareholding pattern of Saraswati Commercial (India) Limited
(Holding company of Four Dimensions Securities (India) Ltd) as on March 23, 2021**

Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING		PROPOSED SHAREHOLDING	
		No. of Shares	% Holding	No. of Shares	% Holding
1	Ashwin Kothari*	61081	6.10	61081	5.93
2	Meena A Kothari*	19347	1.93	19347	1.88
3	Rohit A Kothari*	42141	4.21	42141	4.09
4	Harisingh Shyamsukha*	11	0.00	11	0.00
5	Niyati Mehta*	22000	2.20	22000	2.14
6	A. K. Kothari HUF*	59343	5.93	59343	5.76
7	A K Kothari (S) – HUF*	59366	5.93	59366	5.76
8	P.C.Kothari HUF*	50979	5.09	50979	4.95
9	Four Dimensions Securities (India) Limited*	205787	20.56	205787	19.98
10	Winro Commercial (India) Limited*	177584	17.74	183529	17.82
11	Four Dimensions Commodities Private Limited*	31000	3.10	31955	3.10
12	Sam Jag Deep Investments Private Limited*	1450	0.14	1450	0.14
13	Singularity Holdings Limited* (Formerly known as Geecee Investments Limited)	7861	0.79	7861	0.76
Total DPG Shareholding		737950	73.73	744850	72.32

*Dominant Promoter

Non Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING		PROPOSED SHAREHOLDING	
		No. of Shares	% Holding	No. of Shares	% Holding
14	Individuals	102789	10.26	101357	9.84
15	Body Corporates	159650	15.95	181660	17.64
16	Others	539	0.05	2061	0.20
Total Non DPG Shareholding		262978	26.27	285078	27.68
Total Shareholding		1000928	100.00	1029928	100.00

**Equity Shareholding pattern of Winro Commercial (India) Limited
(Holding company of Four Dimensions Securities (India) Ltd) as on March 31, 2015**

Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING	
		No. of Shares	% Holding
1	Ashwin Kothari*	28500	2.28
2	Rohit A Kothari*	14280	1.14
3	A. K. Kothari HUF*	6200	0.49
4	A K Kothari (S) – HUF*	10000	0.80
5	P.C.Kothari HUF*	250	0.02
6	Four Dimensions Securities (India) Limited*	325050	25.95
7	Geecee Investments Limited*	110150	8.79
8	Sarashwar Trading & Finance Private Limited*	36000	2.87
9	Sam Jag Deep Investments Private Limited*	1450	0.12
10	Saraswati Commercial (I) Ltd *	100150	8.00
Total DPG Shareholding		632030	50.46

*Dominant Promoter

Non Dominant Promoter Group

Sr. No.	Name of the shareholder	CURRENT SHAREHOLDING	
		No. of Shares	% Holding
1	Body Corporates	568506	45.39
2	Others	52000	4.15
Total Non DPG Shareholding		620506	49.54
Total Shareholding		1252536	100.00