

October 12, 2020

Listing Compliance & Legal Regulatory
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai 400 001
Stock Code: 543227

Listing & Compliance
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex
Bandra East, Mumbai 400 051
Stock Code: HAPSTMNDS

Dear Sir/Madam,

Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed the Compliance Certificate dated October 12, 2020 issued by M/s. V Sreedharan & Associates, Practicing Company Secretaries as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Happiest Minds Technologies Limited**



Praveen Kumar Darshankar
Company Secretary & Compliance Officer



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE
HALF YEAR ENDED SEPTEMBER 30, 2020**

To,
KFin Technologies Private Limited
Karvy Selenium Tower B, Plot No.31 & 32,
Financial District, Nanakramguda,
Serilingampally, Hyderabad-500032,
Telangana

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HAPPIEST MINDS TECHNOLOGIES LIMITED** maintained by KFin Technologies Private Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the Company for the period from 17.09.2020 to 30.09.2020 (The Company's equity shares were listed on the Stock Exchanges on 17.09.2020) for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that:-

- A. The Company has not received any request for transfer of shares during the period from 17.09.2020 to 30.09.2020 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.
- B. No request was received for issue of duplicate Share Certificate, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the aforesaid period.

For **V. Sreedharan & Associates**

PRADEEP
BHEEMSEN
KULKARNI

Digitally signed by PRADEEP BHEEMSEN
KULKARNI
DN: cn=PRADEEP BHEEMSEN KULKARNI,
o=V. Sreedharan & Associates,
ou=Company Secretaries,
email=pradeep@vsa.co.in,
c=IN

(Pradeep B Kulkarni)
Partner
FCS 7260; CP No. 7835

October 12, 2020
Bengaluru

UDIN number F007260B000911889

C.C. to:
HAPPIEST MINDS TECHNOLOGIES LIMITED
Bengaluru