

April 13, 2023

Bombay Stock Exchange Limited  
New Trading Ring,  
Rotunda Building, P J Towers, Dalal  
Street, Fort Mumbai-400001  
Scrip Code: 500097

National Stock Exchange of India Limited  
“Exchange Plaza”, Plot No. C-1, Block G  
Bandra – Kurla Complex, Bandra (East),  
Mumbai – 400 051  
Symbol: DALMIASUG

**Subject: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023.**

Dear Sir(s),

Pursuant to Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate from Savita Jyoti Associates, Practicing Company Secretaries, for the financial year ended March 31, 2023.

Thanking you,

Yours faithfully

**For Dalmia Bharat Sugar and Industries Limited**

**(Aashhima V Khanna)**  
**Company Secretary**  
Membership No. : A34517

Encl: As above

CS. SAVITA JYOTI, B.Com., F.C.S.

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE**  
**BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

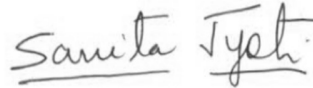
We have examined the relevant books and records of Dalmia Bharat Sugar and Industries Limited ('the Company') as maintained and provided electronically by KFin Technologies Limited (formerly known as KFin Technologies Private Limited), Registrar and Share Transfer Agents (RTA), pertaining to transfer of equity shares of the Company for the period from April 01, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that, in our opinion and according to the best of our information and belief, the Company/RTA during the financial year ended on March 31, 2023:

a) has received securities for transmission, issue of duplicate securities certificate, claim from unclaimed suspense account, deletion/correction of name, renewal/exchange of securities certificate, endorsement of calls/allotment monies, sub-division/splitting of securities certificate and consolidation of securities certificates/folios.

b) has issued / delivered letter (s) of confirmation as per SEBI's operational guidelines, for execution of transfer, transmission, duplicate, deletion/correction of name, transposition of securities, consolidation, sub- division (split) and renewal received during the financial year ended on March 31, 2023 within the period stipulated under the Regulation from the date of lodgement of request.

Date: 13/04/2023  
Place: Hyderabad

SAVITA JYOTI ASSOCIATES



CS. SAVITA JYOTI  
MNo.3738; CP No.1796  
UDIN: F003738E000078736

**SAVITA JYOTI, FCS 3738**  
**Practicing Company Secretary**  
**Certificate of Practice No. 1796**