

Ref : UCL/SEC/2022-23

28<sup>th</sup> May, 2022

<p><b>BSE Limited</b> Corporate Relationship Department Phiroz Jeejeebhoy Towers, Dalal Street, <b>Mumbai – 400 001</b></p> <p><b>Scrip Code : 504212</b></p>	<p><b>National Stock Exchange of India Ltd.</b> Listing Department, Exchange Plaza, C-1, Block G, Bandra-Kurla Complex, Bandra (East), <b>Mumbai – 400 051</b></p> <p><b>Scrip Code : UNIVCABLES EQ</b></p>
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Dear Sirs,

Re: **Submission of Annual Secretarial Compliance Report under Regulation 24A of SEBI (LODR) Regulations, 2015**

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time, read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, we are enclosing herewith the Annual Secretarial Compliance Report dated 23<sup>rd</sup> May, 2022 for the financial year ended 31<sup>st</sup> March, 2022.

This is for your information and record.

Thanking you,

Yours Sincerely,  
**For UNIVERSAL CABLES LIMITED**



  
**(Sudeep Jain)**  
Company Secretary

Encl: As above



# R. K. Mishra & Associates

Company Secretaries

Off : Shop No. 8, K.B. Complex, Near Bus Stand, Semariya Chowk, Satna (M.P.) 485001

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CS KISHOR KUMAR GUPTA  
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Ref. ....

Date .....

## Secretarial Compliance Report of Universal Cables Limited for the year ended 31<sup>st</sup> March, 2022

We, R.K. Mishra & Associates, Practicing Company Secretaries, have examined:

- all the documents and records made available to us and explanation provided by Universal Cables Limited (CIN: L31300MP1945PLC001114) ("the listed entity"),
- the filings/submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations (as amended from time to time), whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations");
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;





- (d) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018 (Not applicable to Company during the Review Period);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (not applicable to the company during the Review Period);
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (Not applicable to the Company during the Review Period);
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable to the Company during the Review Period);
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 relating to the Companies Act and dealing with client;

and circulars/guidelines issued thereafter;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

S.No	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
1	Regulation 17(1) of Listing Regulations	Delay in Compliance is stated to be on account of disruption in normal working due to Covid-19 pandemic and other connected reasons thereto.	The composition of the Board has since been complied with by the appointment of Shri Kishore Kumar Mehrotra (DIN: 02894045) as a Non-Executive Independent Director of the Company with effect from 11 <sup>th</sup> November, 2021.



- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:

S. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	National Stock Exchange of India Limited (NSE) & BSE Ltd. (BSE)	Delay in compliance of Regulation 17(1) of Listing Regulations	NSE & BSE have levied fines of Rs. 4,83,800/- (including GST) each on the Company.	Request(s) for waiver of fines have been submitted by the Company to the respective Stock Exchanges, which are pending for consideration with the Stock Exchange(s).

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

For R.K. MISHRA & ASSOCIATES



**Rajesh Kumar Mishra**  
(Partner)

CP No. 4433

FCS No. 5383

UDIN : F005383D000388656

Place: Satna

Date: 23<sup>rd</sup> May, 2022