

April 18, 2023

To

Department of Corporate Relations BSE Limited P. J. Towers, Dalal Street, Fort Mumbai-400 001	Metropolitan Stock Exchange of India Limited (Formerly Known as MCX Stock Exchange Limited) 4 th Floor, Vibgyor Towers, Bandra Kurla Complex, Bandra East, Mumbai – 400 098
Security Code: 541358	Symbol: UCIL
ISIN: INE926R01012	

Our Values



Innovation



Trust



Growth



Integrity

Dear Sir/Madam,

Sub: **Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023**

In Pursuance to **Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**, we hereby submit Compliance Certificate regarding the issue of certificates, post transfer, subdivision, renewal etc of the shares of the Company, for the year ended March 31, 2023 duly certified by a Practicing Company Secretary.

Kindly take the above information on your record and oblige.

Thanking You
Yours' Faithfully

For **Unifinz Capital India Limited**

Ketna Kumari
Company Secretary and Compliance Officer

Unifinz Capital India Limited
Formerly Shree Worstex Limited

To

The Board of Directors

Unifinz Capital India Limited

Chawla House, 3rd Floor, 19, Nehru Place New Delhi 110019

CEERTIFICATE UNDER REGUTATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION 2015, FOR THE YEAR ENDED ON 31st DAY OF MARCH 2023.

I, have examined all the shares transfer deeds, Memorandum of transfer, registers, files and other documents and papers relating to M/s Unifinz Capital India Limited ("The Company"), maintained by Skyline Financial Services Private Limited at New Delhi, pertaining to transfer of equity shares of the company for the year ended March 31, 2023 for the purpose of issuing Compliance Certificate as per the Equity Listing Agreement entered into by M/s Unifinz Capital India Limited, New Delhi, with the Stock Exchange, and to the best of my knowledge, I hereby certify that the company has delivered during the period ending March 31, 2023.

- a. Share certificates relating to share transfer if any received during the period from 01.04.2022 to 31.03.2023 as entered in the Memorandum of Transfer have been issued within 15 days from the respective date of lodgement of each transfer deed (except those rejected on technical grounds).



DABAS S & CO.

Company Secretaries

- b. Further there were no request received for sub-division, consolidation, renewal, split, exchange of old certificates in respect of shares, held in physical mode of the Company.
- c. Any other information, if applicable: NIL.

Date: 17/04/2023

Place: Delhi

**For Dabas S & Co.
(Company Secretaries)**



**Sanjeev Dabas
M. No: A65138, COP: 24418
Peer Review Certificate No: 2098/2022**

UDIN: A065138E000122250