



AMD Industries Limited

(Mfrs. of : Crown Caps, PET-Preforms, Plastic Closures, Bottles & Jars)

ISO 9001-2015 & FSSC 22000 Company
(CIN : L28122DL1983PLC017141)

Sales & Regd. Office:

18, Pusa Road, 1st Floor, Karol Bagh
New Delhi-110 005 (India)

Ph. : 011-46830202 (30 Lines)

e-mail : amdgroup@amdindustries.com

website : www.amdindustries.com

Dated: 25.05.2022

To,
Bombay Stock Exchange Limited
Phiroje Jeejeebhoy Towers,
Dalal Street, Mumbai-400001
Tel. No.: 022-2272 1233/34 Extn.: 8465

To,
National Stock Exchange of India Limited
"Exchange Plaza", Plot No. C-1-G Block
Bandra – Kurla Complex
Bandra (East), Mumbai-400051

Reference: Code: 532828 and series: EQ

Reference: Symbol: AMDIND and series:
EQ

Subject: Annual Secretarial Compliance Report for Financial Year ended 31st March 2022
U/R 24A of SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015.

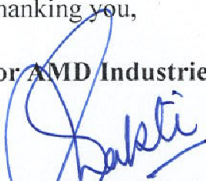
Dear Sir,

Pursuant to the Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations), please find enclosed herewith the Annual Secretarial Compliance Report for Financial Year ended 31st March 2022.

Kindly acknowledge the receipt of the same.

Thanking you,

For AMD Industries Limited


Radha Shakti Garg
Company Secretary





A.G.G. & Associates

Company Secretaries

(Peer Reviewed Certified CS Firm)

21/16, 2nd Floor, West Patel Nagar, New Delhi-110008.

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SECRETARIAL COMPLIANCE REPORT OF AMD INDUSTRIES LIMITED FOR THE YEAR ENDED 31.03.2022

We, AGG & Associates, Practicing Company Secretaries, have examined:

- All the documents and records made available to us and explanation provided by AMD Industries Limited("the listed entity"),
- The filings/ submissions made by the listed entity to the stock exchanges,
- Website of the listed entity,
- Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended on 31.03.2022 ("Review Period") in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable to the company during the Audit Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable to the company during the Audit Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;**(Not applicable to the company during the Audit Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996;
- (j) Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulations 1993;
- (k) Such other regulations as applicable and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.



(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE & NSE	Regulation 33 of SEBI(LODR), Regulations, 2015	BSE vide its e-mail dated 2 nd July, 2021 & NSE vide its Notice dated 29 th July, 2021 made an observation that Auditors' Report has not been submitted alongwith the Standalone Financials of the Company and stated that the company to file the same and also fine was imposed both by BSE and NSE of Rs. 17,700/- each	As per the Management representation, after receipt of the notice, the company submitted the necessary Auditors Certificate as it was inadvertently missed and has also paid the fine imposed with both the BSE & NSE and has made a request to waive off the fine paid and the same is under consideration with NSE and the BSE has issued a regret letter in this regard.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

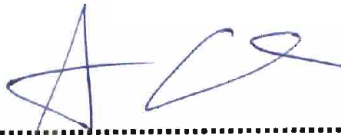
Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	NSE	Regulation 17(1) of SEBI(LODR), Regulations, 2015 for the quarter ended June 2020 and	NSE vide its Letter No. NSE/LISTCOMP/2230 3 dated 31 st July, 2020, Letter No. NSE/LIST-SOP/CG/FINES/1016	The Company complied and made a request to waive off the fine for the quarter ending June 2020 and September, 2020

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		September, 2020	61 dated 20 th August, 2020 and Letter No. NSE/LIST-SOP/COMB/FINES/08 10 dated 17 th November, 2020 issued Notice(s) thereby imposing fine of Rs. 536900/- for the quarter ending June 2020 and Rs. 218300/- for the quarter ending September, 2020 for non-compliance/delayed compliance company paid the same and later made application for its waiver.	and the NSE vide its letter no. NSE/LIST/SOP/AM DIND dated October 12, 2021 considered the matter favorably for the company and the amount paid has been refunded fully.
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**For AGG & Associates
(Company Secretaries)**




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Amar Gopal Gambhir
Practicing Company Secretary
FCS No. 3668
CP No. 3653
UDIN: F003668D000382024

Place: New Delhi
Date: 25-05-2022