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COFFEE DAY ENTERPRISES LIMITED

To,

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

National Stock Exchange of India Limited
Exchange Plaza
Bandra Kurla Complex, Bandra East
Mumbai 400 051

01st May 2019

Dear Sirs,

Sub: Intimation under Regulation 30 of the SEBI (LODR) Regulations 2015

Sub: SEBI order WTM/SKM/EFD1-DRAIII/16/2019-20 in relation to Way2Wealth Brokers Private Limited

Way2Wealth Brokers Private Limited, a subsidiary of the Company ("W2W"), has received an order from SEBI under Section 12A of the Securities Contracts (Regulation) Act, 1956 read with Sections 11 (1), 11 (2) (a), 11 (2) (j), 11 (4) and 11B of the Securities and Exchange Board of India Act, 1992. The order pertains to alleged irregularities in leased line connectivity allowed to certain stock brokers by the NSE.

While W2W is not a material subsidiary of the Company, this disclosure is being made given that the order is a material development in relation to a subsidiary of the Company.

In terms of the captioned order, W2W has been directed to: (i) deposit Rs. 15.34 crores along with interest at 12% per annum from September 10, 2015 until the actual date of payment; (ii) cease accepting, inducting or enrolling new clients for one year from the date of the order; and (iii) refrain from undertaking proprietary trades on stock exchanges recognized by SEBI for two years. Further, Mr. Shashibhushan, the Chief Executive Officer of W2W, has been restricted from holding any position or becoming associated with any stock exchange, clearing corporation or depository recognized or registered by SEBI and/or in any intermediary registered with SEBI or any of their related entities, for a period of two years. Enclosed in **Annexure A** are details of the proceeding in the format prescribed by SEBI.

The Company does not anticipate significant business disruption as a result of the captioned order and intends to pursue all legal remedies as may be required to protect its interests, including filing an appeal against the order.

Please take the above intimation on records.

For Coffee Day Enterprises Limited



Sadananda Poojary
Company Secretary & Compliance Officer
F5223



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COFFEE DAY ENTERPRISES LIMITED
Annexure A

Sl. No.	Particulars	Remarks
1.	Brief details of litigation	Way2Wealth Brokers Private Limited, a subsidiary of the Company ("W2W"), has received an order from SEBI under Section 12A of the Securities Contracts (Regulation) Act, 1956 read with Sections 11 (1), 11 (2) (a), 11 (2) (j), 11 (4) and 11B of the Securities and Exchange Board of India Act, 1992. The order pertains to alleged irregularities in dark fibre/ leased line connectivity allowed to certain stock brokers by the NSE.
2.	Expected financial implications, if any, due to compensation, penalty, etc.	W2W has been directed to: (i) deposit Rs. 15.34 crores along with interest at 12% per annum from September 10, 2015 until the actual date of payment; (ii) accepting, inducting or enrolling new clients for one year from the date of the order; and (iii) refrain from undertaking proprietary trades on stock exchanges recognized by SEBI for two years. Further, Mr. Shashibhushan, the Chief Executive Officer of W2W has been restricted from holding any position or becoming associated with any stock exchange, clearing corporation or depository recognized or registered by SEBI and/or in any intermediary registered with SEBI or any of their related entities, for a period of two years.
3	Quantum of claims, if any.	Please see above