



SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021

Tel. : 91 22 46032806 or 22-46032807

Email id : investors@shriyam.com Website : www.shardulsecurities.com

Date: 30th September 2022

To,
The Stock Exchange, Mumbai
Corporate Relationship Department
P.J. Towers
Dalal Street, Fort
Mumbai- 400 001.

Dear Sir,

Re: Our Security Code No. 512393

Sub: Submission of Voting Results and Scrutinizers Report of the 37th Annual General Meeting (AGM) held on Wednesday, 28th September 2022.

With reference to our 37th Annual General Meeting of the Members of the Company held on Wednesday, 28th September 2022 and with reference to above subject please find enclosed copy of the following:

1. Voting Results pursuant to the relevant provisions of Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. Scrutinizers report on e-voting and poll in Form MGT-13 pursuant to Section 108 of the Companies Act, 2013 and Rule 20 (4) (xii) of the Companies (Management and Administration), Rules 2014.

Kindly acknowledge receipt and take the above on record.

Yours faithfully,

FOR SHARDUL SECURITIES LIMITED

DAYA BHALIA
DIRECTOR & COMPANY SECRETARY



Encl: As Above



SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

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Tel. : 91 22 46032806 or 22-46032807

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Date: 30th September, 2022.

VOTING RESULTS

Date of AGM	28 th September 2022
Total number of shareholders on record date	6706
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	0 0
No. of shareholders present in the meeting through video conferencing: Promoters and Promoter Group: Public:	16 106



Shardul Securities Limited

Resolution Required : (Ordinary) 1 - To receive, consider and adopt the Audited (Standalone and Consolidated) Financial Statements of the Company for the financial year ended 31st March, 2022 together with the Reports of Board of Directors and Auditors thereon.

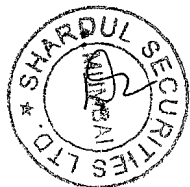
Whether promoter/ promoter group are interested in the agenda/resolution?

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
Promoter and Promoter Group	E-Voting	13095807	13095807	100.0000	13095807	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		13095807	100.0000	13095807	0	100.0000	0.0000
Public Institutions	E-Voting	0	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	4402626	9489	0.2155	9489	0	100.0000	0.0000
	Poll		200138	4.5459	200138	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		209627	4.7614	209627	0	100.0000	0.0000
Total		17498433	13305434	76.0379	13305434	0	100.0000	0.0000



Shardul Securities Limited

Resolution Required : (Ordinary)			2 - To appoint a director in place of Ms. Daya Bhalia (DIN: 07049483), who retires by rotation and being eligible, offers herself for re-appointment.					
Whether promoter/ promoter group are interested in the agenda/resolution?								
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in favour	No. of Votes -Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	13095807	13095807	100.0000	13095807	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		13095807	100.0000	13095807	0	100.0000	0.0000
Public Institutions	E-Voting	0	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	4402626	9489	0.2155	9489	0	100.0000	0.0000
	Poll		200138	4.5459	200138	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		209627	4.7614	209627	0	100.0000	0.0000
Total		17498433	13305434	76.0379	13305434	0	100.0000	0.0000





Dhirendra Maurya
M.Com, ACS

D MAURYA & ASSOCIATES
Practicing Company Secretary

To,
The Chairman
Shardul Securities Limited
CIN: L50100MH1985PLC036937
Regd. off: G-12, Tulsiani Chambers, 212,
Nariman Point, Mumbai – 400021, Maharashtra, India

Dear Sir,

I thank you for appointing me as the Scrutinizer for remote e-voting process and evoting by your Members during the 37th Annual General Meeting of your Company held on Wednesday, 28th September, 2022 through Video Conferencing (“VC”) / Other Audio-Visual Means (“OAVM”).

I am pleased to submit the Scrutinizer’s Report, which is comprehensive and self explanatory in all respects.

Regards,

D MAURYA & ASSOCIATES
Practicing Company Secretary
*(Formerly known as Dhirendra
Maurya & Associates)*



Dhirendra Maurya
Proprietor
ACS No. 22005
CP No. 9594

Peer Review Cert. No.: 2544/2022
UDIN: A022005D001085496

Date: Sept. 29, 2022
Place: Mumbai



D MAURYA & ASSOCIATES
Practicing Company Secretary

Scrutinizers Report

Name of Company	Shardul Securities Limited
Meeting	37 th Annual General Meeting
Day, Date & Time	Wednesday, 28 th Sept., 2021 at 4:00 p.m.
Deemed Venue	Regd. office situated at G-12, Tulsiani Chambers, 212, Nariman Point, Mumbai – 400021, Maharashtra, India
Mode	Video Conferencing (“VC”) / Other Audio-Visual Means (“OAVM”)

1. Appointment as Scrutinizer

I, Dhirendra R. Maurya, Proprietor of D Maurya & Associates, Practicing Company Secretary (*Formerly known as Dhirendra Maurya & Associates*), was appointed as the Scrutinizer by the Board of Directors of Shardul Securities Limited pursuant to Section 108 of the Companies Act, 2013 (the “Act”) read with Rule 20 of the Companies (Management and administration) Rules, 2014, as amended, to conduct the remote e-voting process in respect of the below mentioned resolutions proposed at the 37th Annual General Meeting (“AGM”) of Shardul Securities Limited (hereinafter referred to as “the Company”) scheduled on Wednesday, 28th September, 2022 at 04:00 p.m. held through Video Conferencing (“VC”) / Other Audio-Visual Means (“OAVM”).

2. Dispatch of Notice convening the AGM

2.1 Pursuant to General Circulars dated May 5, 2022, January 13, 2021, May 5, 2020, & read with circulars dated December 8, 2021, December 14, 2021 (collectively referred to as “MCA Circulars”) and SEBI Circulars dated May 12, 2020 and January 15, 2021, an advertisement was published in Business Standard (English) and Mumbai Lakshadeep (Marathi), having electronic editions on 7th September, 2022 specifying the date & time of the AGM, availability of the notice on Company’s website and website of Stock Exchanges, manner of registration of email ids by the members (both physical & demat) who are yet to register their email ids with the Company, manner of voting through remote e-voting or through e-voting system at the AGM etc.

2.2 The Company hosted the notice of AGM on its website, website of the agency providing the platform for remote e-voting and e-voting i.e. Link Intime India Pvt. Ltd. during the AGM and also intimated the same to BSE Limited on 6th September, 2022.

2.3 The Company informed that on the basis of the Register of Members and the list of Beneficial Owners made available by Link Intime India Private Limited the Registrar and Share Transfer Agents (“RTA”) of the Company and the depositories viz., National Securities Depository Limited (“NSDL”) and Central Depository Services (India) Limited (“CDSL”) respectively, the Company completed dispatch of Notice of AGM:

On 6th September, 2022 by E-mail to Members who had already registered their E-mail IDs with the Company / Depositories;

3. Cut-off date

Voting rights were reckoned as on 21st September, 2022, being the cut-off date for the purpose of deciding the entitlements of members for remote e-voting and e-voting at the AGM.

4. Remote e-voting process

4.1 Agency

The Company appointed Link Intime India Private Limited as the agency for providing the platform for remote e-voting platform and e-voting at the AGM.



Dhirendra Maurya
M.Com, ACS

D MAURYA & ASSOCIATES
Practicing Company Secretary

4.2 Remote e-voting period

Remote e-voting platform was open from 9.00 a.m. (IST) on 25th, September, 2022 till 5.00 p.m. (IST) on 27th September, 2021 and members were required to cast their votes electronically conveying their assent or dissent in respect of the resolutions on the remote e-voting platform provided by Link Intime India Private Limited.

5. Voting at the AGM

5.1 In keeping with Regulation 44(1) and 44(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and as prescribed under Rule 20 (4)(xiii) of the Companies (Management and Administration) Rules, 2014 for the purpose of ensuring that Members who have cast their votes through remote e-voting do not vote again during the general meeting, the Scrutinizer shall have access after closure of period of remote e-voting and before the start of general meeting, to only such details relating to Members who have cast their votes through remote evoting, such as their names, DP ID & Client ID / folios, number of shares held but not the manner in which they have voted.

5.2 Accordingly, Link Intime India Private Ltd., the remote e-voting agency provided us with the names, DP ID & Client ID / folios and shareholding of the members who had cast their votes through remote e-voting.

6. Counting Process

6.1 On completion of e-voting during the AGM, we unblocked the results of the remote e-voting and e-voting by members at the AGM, on the Link Intime India Private Limited evoting platform and downloaded the results.

7. Results

7.1 We observe that:

- a) 14 Members had casted their votes through e-voting at the AGM;
- b) 107 Members had casted their votes through remote e-voting.

7.2 Consolidated results with respect to each item on the agenda as set out in the Notice of the AGM dated 10th August, 2022 is enclosed herewith.

7.3 Based on the aforesaid results, we report that 2 Ordinary Resolutions as set out in Item Nos. 1 to 2 of the Notice of the AGM dated 10th August, 2022 have been passed with the requisite majority.

Regards,

D MAURYA & ASSOCIATES
Practicing Company Secretary
*(Formerly known as Dhirendra
Maurya & Associates)*



Dhirendra Maurya
Proprietor
ACS No. 22005
CP No. 9594

Peer Review Cert. No.: 2544/2022
UDIN: A022005D001085496

Date: Sept. 29, 2022
Place: Mumbai



D MAURYA & ASSOCIATES
Practicing Company Secretary

Analysis of Results of Item No. 1 to Item No. 2

Resolution No. 1							
Nature of Resolution:				Ordinary Resolution			
Subject Matter: To receive, consider and adopt the Audited (Standalone and Consolidated) Financial Statements of the Company for the financial year ended 31 st March, 2022 together with the Reports of Board of Directors and Auditors thereon.							
Promoter / Public	No. of Shares held (1)	No. of Votes Polled (2)	% of Votes Polled on Outstanding Shares (3)= [(2)/(1)]*100	No. of Votes - in Favor (4)	No. of votes Against (5)	% of Votes in favor on votes polled (6) = [(4)/(2)]*100	% of Votes against on Votes polled (7) = [(5)/(2)]*100
Mode of Voting: E-Voting							
Promoter & Promoter Group	13095807	13095807	100	13095807	0	100	0
Public Institutional Holders	0	0	0	0	0	0	0
Public - Others	4402626	9489	0.22	9489	0	100	0
Total (A)	17498433	13105296	74.89	13105296	100	100	0
Mode of Voting: Poll							
Promoter & Promoter Group	13095807	0	0	0	0	0	0
Public Institutional Holders	0	0	0	0	0	0	0
Public - Others	4402626	200138	4.55	200138	0	100	0
Total (B)	17498433	200138	1.14	200138	0	100	0
Result (A+B)	17498433	13305434	76.03	13305434	0	100	0



D MAURYA & ASSOCIATES
Practicing Company Secretary

Resolution No. 2							
Nature of Resolution:		Ordinary Resolution					
Subject Matter: To appoint a director in place of Ms. Daya Bhalia (DIN: 07049483), who retires by rotation and being eligible, offers herself for re-appointment.							
Promoter / Public	No. of Shares held (1)	No. of Votes Polled (2)	% of Votes Polled on Outstanding Shares (3) = [(2)/(1)]*100	No. of Votes - in Favour (4)	No. of votes Against (5)	% of Votes in favour on votes polled (6) = [(4)/(2)]*100	% of Votes against on Votes polled (7) = [(5)/(2)]*100
Mode of Voting: E-Voting							
Promoter & Promoter Group	13095807	13095807	100	13095807	0	100	0
Public Institutional Holders	0	0	0	0	0	0	0
Public - Others	4402626	9489	0.22	9489	0	100	0
Total (A)	17498433	13105296	74.89	13105296	100	100	0
Mode of Voting: Poll							
Promoter & Promoter Group	13095807	0	0	0	0	0	0
Public Institutional Holders	0	0	0	0	0	0	0
Public - Others	4402626	200138	4.55	200138	0	100	0
Total (B)	17498433	200138	1.14	200138	0	100	0
Result (A+B)	17498433	13305434	76.03	13305434	0	100	0