

18<sup>th</sup> October, 2019

To,  
**BSE Limited**  
25<sup>th</sup> Floor, P J Towers,  
Dalal Street,  
Mumbai – 400 001  
**Scrip Code: 532475**

To,  
**National Stock Exchange of India Limited**  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051.  
**Symbol: APTECHT**

Dear Sir/Madam,

**Sub.: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019**


Please find enclosed herewith Certificate received from Mr. Nilesh Shah – Company Secretary in Practice, certifying compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019.

Kindly take the same on record.

Thanking you.

Yours faithfully,

**For Aptech Limited**



**Ketan Shah**  
**Company Secretary & Compliance Officer**  
Encl.: as above.

Ref \_\_\_\_\_

**UDIN: F004554A000116336**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30.09.2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **APTECH LIMITED** maintained by M/s KARVY FINTECH PRIVATE LIMITED ("RTA") pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to Aptech Limited and based on the information provided by the Company / RTA, I hereby certify that:

- A) Share Certificate(s) relating to the transfer and transmission of Shares received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers have been issued within fifteen days and twenty one days of the date of lodgment for transfer / transmission respectively except those rejected on technical grounds;
- B) Share Certificates with respect to request relating to deletion of name, issuance of Duplicate share Certificate and renewal / exchange have been issued within thirty days of the date of lodgment of such request except those rejected on technical grounds. As per records made available to us there was no request received relating to sub-division, consolidation, or endorsement of calls/allotment monies during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019.

Date: 18/10/2019  
Place: Mumbai

Signature: \_\_\_\_\_

  
18/10/2019

**NILESH G. SHAH.**  
**Company Secretary**  
**C. P. No: 2631**

