



JSW Energy Limited

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Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051

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SEC / JSWEL
17th June, 2020

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400 001 Scrip Code: 533148	The Secretary National Stock Exchange of India Limited “Exchange Plaza” Bandra - Kurla Complex, Bandra (E) Mumbai - 400 051 Scrip Code: JSWENERGY- EQ
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Subject: Annual Secretarial Compliance Report for the year ended 31st March, 2020

Dear Sirs,

Pursuant to Regulation 24(A) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (“Listing Regulations 2015”) read with SEBI Circular No CIR/CFD/CMD1/27/2019 dated 8th February, 2019, we enclose herewith the Annual Secretarial Compliance Report for the year ended 31st March, 2020, issued by Srinivasan & Co, Company Secretaries.

The above is for your information and record.

Yours faithfully,

For **JSW Energy Limited**

Monica Chopra
Company Secretary and Compliance Officer



Part of O. P. Jindal Group



**SECRETARIAL COMPLIANCE REPORT
OF
JSW ENERGY LIMITED**

for the year ended 31st March, 2020

(Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019)

We, M/s. *S. Srinivasan & Co.,* Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by **JSW Energy Limited** (“the listed entity”);
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

for the year ended 31st March, 2020 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (“SEBI”);

The specific regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
The provisions of the said regulations are not applicable to the Company as there was no buyback during the year under review.
- (e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
The provisions of the said regulations are not applicable to the Company as there was no issue of non-convertible and redeemable preference shares during the year under review.
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulation, 2014;
- (i) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009;
The provisions of the said regulations are not applicable to the Company as there was no delisting of shares during the year under review.
- (j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the review period:

- (a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

<i>Sr. No.</i>	<i>Compliance Requirement (Regulations/ circulars / guidelines including specific clause)</i>	<i>Deviations</i>	<i>Observations/ Remarks of the Practicing Company Secretary</i>
None			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

<i>Sr. No.</i>	<i>Action taken by</i>	<i>Details of Violation</i>	<i>Details of action taken e.g. fines, warning letter,</i>	<i>Observations/ remarks of the Practicing Company</i>
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			<i>debarment, etc.</i>	<i>Secretary, if any.</i>
None				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

There being no observation in the Annual Secretarial Compliance Report for the previous year, reporting on actions to comply with its observations do not arise.

<i>Sr. No.</i>	<i>Observations of the Practicing Company Secretary in the previous reports</i>	<i>Observations made in the secretarial compliance report for the year ended 31.03.2019</i>	<i>Actions taken by the listed entity, if any</i>	<i>Comments of the Practicing Company Secretary on the actions taken by the listed entity</i>
None				

For *S. Srinivasan & Co.,*
Company Secretaries

SRINIVASAN
SATHYAMU
RTHY

Digitally signed by
SRINIVASAN
SATHYAMURTHY
Date: 2020.06.17
11:32:04 +05'30'

Place: Chennai
Date: 17.06.2020

S. Srinivasan
Practicing Company Secretary
FCS: 2286 | CP. No.: 748
UIN: S1984TN002200