

IWL: NOI: 189: 2022

16th May, 2022

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai 400 001	The Secretary National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Bandra (E) Mumbai 400 051
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Scrip code: 539083

Scrip code: INOXWIND

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2022

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended, please find enclosed herewith Annual Secretarial Compliance Report dated 5th May, 2022 of the Company for the Financial Year ended 31st March, 2022 issued by M/s. J.K. Gupta & Associates, Practicing Company Secretaries, Delhi.

You are requested to take the above information on record.

Thanking You

Yours faithfully,
For **Inox Wind Limited**


Deepak Banga
Company Secretary



J. K. Gupta & Associates

(Company Secretaries)

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Near Shakti Nagar Railway Under Bridge,
Gulabi Bagh, Delhi- 110052

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SECRETARIAL COMPLIANCE REPORT OF INOX WIND LIMITED

(CIN: L31901HP2009PLC031083)

FOR THE YEAR ENDED MARCH 31ST, 2022

We J. K. Gupta & Associates have examined:

- a) All the documents and records made available to us and explanation provided by **INOX WIND LIMITED** ("the listed entity"),
- b) The filings/ submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March 2022 ("Review Period") in respect of compliance with the provisions of:
 - a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued thereunder; and
 - b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the Circulars/ Guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; [Not applicable to the listed entity during the Review Period]
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; [Not applicable to the listed entity during the Review Period]
- e) Securities and Exchange Board of India (Share based Employee benefits) Regulations, 2014; [Not applicable to the listed entity during the Review Period]
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; [Not applicable to the listed entity during the Review Period]

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- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- j) Securities and Exchange Board of India Circular No. SEBI/HO/MIRSD/CRADT/CIR/P/2020/207 dated October 22,2020
- k) Securities and Exchange Board of India Circular No. CIR/IMD/DF-1/ 67 /2017, dated, June 30, 2017

and other circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and Circulars/ Guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulation/ Circular/ Guidelines including specific clause)	Deviations	Observations/ Remarks of Practicing Company Secretary
Nil			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and Circulars/ Guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its Promoters/ Directors/ Material Subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ Guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks of Practicing Company Secretary, if any
Nil				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No	Observations of the Practicing Company Secretary in the previous report	Observations made in the secretarial Compliance report for the year ended	Action taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
01.	The Listed Entity has a delay in listing of its 9.5% Rated, Listed, Secured, Redeemable, Non-Convertible Debenture (ISIN: INE066P07018) on the Stock Exchange and Securities and Exchange Board of India has issued a letter no SEBI/HODDHS/OW/P/2020/21834/1 dated 15/12/2020 to the Entity stating the fact that the Listed entity has not listed mentioned securities within prescribed timelines and advised the listed entity to maintain future timelines. Further listed entity has directed by the Stock Exchange and Securities and Exchange Board of India to pay a penal interest to the investors in terms of clause 4(a)(ii) of SEBI Circular no. SEBI/HO/MIRSD/DOS3/ CIR/P/2019/68, dated, 27th May, 2019.	31 st March, 2021	Listed entity has paid the penal interest to its investor and intimated the same to its Debenture Trustee, Catalyst Trusteeship Limited vide its letter dated 31/12/2020.	The Listed entity had paid the penal interest to the investors as directed by the Stock Exchange, and they will maintain the future timelines.

Place: Delhi
Date: 05.05.2022

For J. K. Gupta & Associates


Jitesh Gupta
(Company Secretary)

FCS No.: 3978

CP No.: 2448

UDIN:F003978D000271718