

# PIONEER INVESTCORP LIMITED

1218 MAKER CHAMBERS V NARIMAN POINT MUMBAI

TEL : 66186633 FAX : 22049195

CIN L65990MH1984PLC031909

27<sup>th</sup> April 2020

Senior General Manager – Listing Compliance  
BSE Limited, 24<sup>th</sup> Floor  
P J Towers , Dalal Street  
Mumbai – 400001

Respected Sir

Ref : Scrip Code : 507864

**Sub.:-- Compliance of Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the Half year ended 31<sup>st</sup> March 2020**

In compliance of the captioned Regulation please find enclosed herewith certificate from Parikh Parekh & Associates, Practising Company Secretaries confirming the compliance of the Regulation 40(9) of SEBI (LODR) Reeregulations, 2015 for the half year ended 31<sup>st</sup> March 2020

Please acknowledge receipt of this letter.

Thanking you

For **PIONEER INVESTCORP LIMITED**

**Sd/-**

**AMIT CHANDRA**

**COMPANY SECRETARY**

Encl.: - as above.

**PARIKH PAREKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd.,  
Sab TV Lane, Opp Laxmi Industrial Estate, Off  
Link Road, Above Shabari Restaurant, Andheri  
(W), Mumbai: 400053  
Tel No.: 26301232 / 26301233 / 26301240  
Email: cs@parikhassociates.com  
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF  
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,  
2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to **Pioneer Investcorp Limited** (the Company) maintained and provided electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March, 2020.

1.b. The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to exchange of securities received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transmission of securities, deletion of name, transposition of securities, sub-division (split), consolidation, renewal or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.

**For PARIKH PAREKH & ASSOCIATES**

Mohammad  
Pillikandlu

Digitally signed by Mohammad P Pillikandlu  
DN: cn=Pillikandlu, postalCode=400053,  
ou=Satellite Corporate Services Private Limited,  
2.5.4.20=0214160602ba39874c0a8533326  
02f43f8942ce72523a06802c552b347,  
serialNumber=5726bc04316121c4d40ac01b  
18356512a262e446321c583a4a45e4210c  
18, cn=Mohammad P Pillikandlu  
Date: 2020.04.27 15:19:06 +05'30'

Place: Mumbai  
Date: April 27, 2020

Signature:  
Name of Company Secretary: **Mohammad Pillikandlu**

**ACS: 39232 CP: 14603**  
**UDIN: A039232B000181211**