

Date:09.12.2019

To,

National Stock Exchange of India Limited Listing Dept.

Exchange Plaza, Bandra Kurla Complex Bandra (East), Mumbai-400051

BSE Limited
Listing Dept.
P.J.Tower, Dalal Street
Fort, Mumbai-400001

Sub: Disclosure of related party transaction

Pursuant to Regulation 23 (9) of SEBI (Listing obligation and Disclosure Requirement) Regulation 2015 (as amended from time to time)please find enclosed herewith disclosure of Related Party transaction on consolidated basis in the format specified in the accounting standard for the half year ended 30th September 2019.

Request you to take note of the same.

Yours Faithfully

For, Emkay Global Financial Services Limited

B.M.Raul

Company Secretary & Compliance officer



Emkay Global Financial Servies Limited

Consolidated Related Party Transactions for the period from April to Sept, 2019

o.	Name	Relationship	Nature of transaction	Transaction amount during the period from April to Sept, 2019	Amount Outstanding as on 30.09.2019
				2019-20	30.09.2019
1	Related parties where control exists Azalea Capital Partners LLP	Associate	Depository Charges Trade Receivables	105	53
2	Key Managerial Personnel Krishna Kumar Karwa	KMP having control or significant influence	Salaries & Other Benefits Brokerage Income Depository Charges	4,704,000 30,171 330	22,249
3	Prakash Kacholia	KMP having control or significant influence	Trade payables Salaries & Other Benefits Brokerage Income Depository Charges. Trade payables Portfolio Management Fees Trade Receivables	4,704,000 14,481 715 182,807	4,337,265 107,571
4	Relative of Key Managerial Personnel Preeti Kacholia	Relative of KMP	Brokerage Income Depository Charges Trade payables	160,376 4,115	10,738,837
5	Krishna Kacholia	Relative of KMP	Brokerage Income Depository Charges Trade Receivables	16 1,335	1,988
6	Priti Karwa	Relative of KMP	Brokerage Income Depository Charges Trade Receivables	1,010 465	18
7	Raunak Karwa	Relative of KMP	Salaries & Other Benefits Depository Charges Trade Receivables	1,008,000 135	- 18
8	Soumya K Karwa	Relative of KMP	Depository Charges	375	*
9	Murlidhar Karwa HUF	Relative of KMP	Brokerage Income Depository Charges Trade Receivables	2,200 360	35
10	Krishna Kumar Karwa HUF	KMP having control or significant influence	Brokerage Income Depository Charges Trade Receivables	2,726 134	71
11	Divya Kacholia	Relative of KMP	Brokerage income	70	1
	Nidhi Kacholia	Relative of KMP	Brokerage Income Depository Charges Trade Receivables	70 315	
	Enterprises owned/controllled by KMP				
13	Synthetic Fibres Trading Co	Enterprise owned/controlled by KMP	Brokerage Income Depository Charges Trade Receivables	605,992 1,125	
14	Emkay Corporate Services Private Limited	Enterprise owned/controlled by KMP	Brokerage Income Depository Charges Interest Paid Repayment of borrowing Portfolio Management Fees Trade Receivables	33,418 522 2,712 3,000,000 35,593	
1	Krishna Investments	Enterprise owned/controlled by relative of KMP	Brokerage and Commission Trade Payables	19,020	58,705
11	6 Seven Hills Capital	Enterprise owned/controlled by KMP	Brokerage Income Depository Charges Trade Receivables	112,93: 1,08:	3,542,442
1 1	Additional Related Parties as per Companie Act.2013 7 Saket Agrawal 8 Bhalchandra Raul	Chief Financial Officer Company Secretary	Salaries & Other Benefits Salaries & Other Benefits	2,425,12 1,348,74	

