



# ALFA TRANSFORMERS LTD.

CIN-L311020R1982PLC001151

Regd. Office : Plot No. 3337,  
Mancheswar Industrial Estate  
Bhubaneswar -751010, Odisha, India

Tel. : 91-674-2580484  
E-mail : info@alfa.in / Sales@alfa.in  
URL : http : //www.alfa.in

Date: 24<sup>th</sup> May, 2019

To  
The Asst. General Manager  
Department of Corporate Services,  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai 400 001



ISO 9001 : 2015  
Certificate Registration No. 99 100 11745/02

Dear Sir/ Madam,

**Sub.:** Submission of Annual Secretarial Compliance Report for the Financial Year ended  
March 31, 2019

**Ref.:** 1) Regulation 24A of SEBI (LODR) Regulations, 2015  
2) BSE SCRIP CODE: 517546 – Alfa Transformers Limited

With reference to the above captioned subject, we enclose herewith the copy of Annual Secretarial Compliance Report for the financial year ended on 31<sup>st</sup> March, 2019 issued by M/s. Saroj Ray & Associates, Secretarial Auditors of the Company pursuant to Regulation 24A of the SEBI (LODR) Regulations, 2015.

Kindly take the same on record.

Thanking you.

Yours faithfully

For Alfa Transformers Limited

For Alfa Transformers Ltd

*Debasish Dibyajyoti Deo*  
Company Secretary

Debasish Dibyajyoti Deo  
Company Secretary



Encl: As above

**Secretarial compliance report of M/s. Alfa Transformers Limited  
for the year ended 31<sup>st</sup> March, 2019.**

We, M/s. Saroj Ray & Associates, Practicing Company Secretaries, Bhubaneswar have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. Alfa Transformers Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,

for the year ended 31<sup>st</sup> March, 2019 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder; and based on the above examination, We hereby report that, during the Review Period:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The year to mention)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA	NA	NA	NA	NA



Place: Bhubaneswar  
Date: 22.05.2019

Signature: *Apal*  
Name of the PCB: Apal Pattnaik  
ACS No.: 143308  
C.P.No.: 12188

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-	-	-	-

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

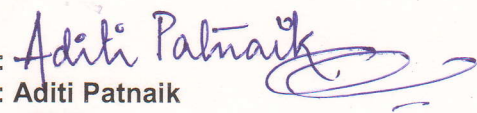
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
-	-	-	-	-

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA	NA	NA	NA	NA

Place: Bhubaneswar  
Date: 22.05.2019

Signature :   
Name of the PCS : Aditi Patnaik  
ACS No. : 45308  
C P No. : 18186

