



# Smiths & Founders (India) Limited

SFIL/COSEC/BSE/Cert.40(9)/HY-01/2020-21  
Friday, October 16, 2020

**The Department of Corporate Relations,  
Bombay Stock Exchange Limited  
P.J. Towers, 25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400 001**

Dear Sirs,

**Sub: Certificate Under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: Scrip Code: 513418**

Please find enclosed, Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 30.09.2020, dated 12.10.2020, issued by Mr. Nagesha Rao, Company Secretary in Practice.

Please take the same on record.

Thank you,

Yours truly,

**For Smiths & Founders (India) Limited**

**ROOPASHREE**  
**B SHETTIGAR**

Digitally signed by  
ROOPASHREE B  
SHETTIGAR  
Date: 2020.10.16 10:55:35  
+05'30'

**Roopashree B Shettigar  
Company Secretary & Compliance Officer  
Encl: as above**



**K N Nagesha Rao** BCom, DSP, DEE, DBA, LLB, FCS, FCMA  
Practising Company Secretary


"Sumukha"  
22, 5<sup>th</sup> Cross, 1 Stage, Grihalaxmi  
Colony, Basaveshwaranagar,  
Bengaluru 560079  
☎ 9845050333; 08023234353  
✉ nageshknn@gmail.com

**Certificate under Regulation 40(9) of the Securities and  
Exchange Board of India (Listing Obligations and  
Disclosure Requirements) Regulations, 2015 for the half  
year ended 30<sup>th</sup> September, 2020**

Based on the information and details provided to me by **Smiths & Founders (India) Limited** with CIN No. L85110KA1990PLC011303 ("the Company"), I hereby certify that during the period from 1st April 2020 to 30<sup>th</sup> September 2020 the Company has in compliance with the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued certificates on transfer of shares within a period of thirty days from the date of lodgment for transfer (the Company did not receive any request for share transfer). I further certify that during the above period the Company did not issue any new share certificate upon endorsement, sub-division, consolidation, renewal, or in exchange of old certificates.

12<sup>th</sup> October, 2020  
Bengaluru  
UDIN No. F003000B000915747  
Peer Review Unique ID No.I2014KR1122000



  
K N Nagesha Rao  
Practising Company Secretary  
FCS 3000 CP 12861