

# S. M. GOLD LIMITED

Shop No. 1 to 3, 2<sup>nd</sup> Floor, 24 Caret Building, Opp. Rok Regency Hotel, Law Garden, C G Road, Ahmedabad - 380009, Gujarat, India

CIN: L74999GJ2017PLC098438 E-mail: [compliancesmgold@gmail.com](mailto:compliancesmgold@gmail.com)  
Website: [www.smgoldltd.com](http://www.smgoldltd.com) Ph.: 079-22114411 / 9428980017

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Date: April 15, 2023

To  
**The General Manager-Listing**  
Corporate Relationship Department  
BSE Limited  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai - 400001

**Subject: Submission of Compliance Certificate Under Regulation 7(3) Of SEBI (Listing Obligation and Disclosure Regulation), 2015 For Financial Year Ended On March 31, 2023**

**Ref.: S. M. Gold Limited (Scrip Code: 542034)**

Dear Sir / Madam,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we are submitting herewith a certificate, duly signed by KFin Technologies Limited, Registrar and Share Transfer Agent of the company and the Compliance Officer and Company Secretary of the company, for the period ending 31 March, 2023 in compliance of Regulation 7(3) of the SEBI Listing Regulations, 2015.

Please take the same on your record and oblige.

Thanking you,

**For, S. M. Gold Limited**

Pulkitkumar  
Sureshbhai Shah

Digitally signed by  
Pulkitkumar Sureshbhai  
Shah  
Date: 2023.04.15 19:00:38  
+05'30'

**PULKITKUMAR SURESHBHAI SHAH**  
**Director**  
**DIN: 07878190**

## COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to S M GOLD LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking you,

Regards,  
For KFin Technologies. Limited



CS K. Malben Tolani

Company Secretary & Compliance Officer



Umesh Pandey  
Senior Manager

Place: Hyderabad

Date: 04.04.2023

**KFin Technologies Limited**  
(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally Hyderabad Rangareddi, Telangana - 500032, India

CIN : U72400TG2017PLC117649