



# ALFA TRANSFORMERS LTD.

CIN-L311020R1982PLC001151

Regd. Office : Plot No. 3337,  
Mancheswar Industrial Estate  
Bhubaneswar -751010, Odisha, India

Tel. : 91-674-2580484  
E-mail : info@alfa.in / Sales@alfa.in  
URL : http : //www.alfa.in

Date: 26<sup>th</sup> October, 2019

To  
The Asst. General Manager  
Department of Corporate Services,  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Towers (P J Towers),  
Dalal Street, Mumbai 400 001



ISO 9001 : 2015  
Certificate Registration No. 99 100 11745/02

Dear Madam/Sir,

**Sub.:** Certificate of compliance under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2019.

**Ref.:** 1) Regulation 40 (9) of SEBI (LODR) Regulations, 2015  
2) BSE SCRIP CODE: 517546 – Alfa Transformers Limited

With reference to the above captioned subject matter, we enclose herewith the half-yearly compliance certificate in respect of issue of relevant share certificates within the prescribed timeline as stipulated under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half-year ended on 30<sup>th</sup> September, 2019.

Kindly take the same on record.

Thanking you.  
Yours faithfully

**For Alfa Transformers Limited**

For Alfa Transformers Ltd

*Amarnath Tripathy*

Company Secretary  
**CS Amarnath Tripathy**

**Company Secretary and Compliance Officer**



Enclosure: As Below

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR)  
REGULATIONS FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2019.**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents pertaining to transfer/transmission of equity shares of **M/s. Alfa Transformers Limited** maintained by the Company's Registrar & Share Transfer Agent (RTA) **M/s. MCS Share Transfer Agent Limited**, Kolkata for the period from **1<sup>st</sup> April, 2019** to **30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Company, we hereby certify that:

- A) Share Certificate relating to the Share Transfer forms received during the period from **1<sup>st</sup> April, 2019** to **30<sup>th</sup> September, 2019** as entered in the Memorandum of Transfers have been issued, within thirty days, from the respective date of lodgment of each form.
- B) No request for transmission of shares/ issue of duplicate/ consolidation/ split/ replacement of share certificate has been received by the Company during the period from **1<sup>st</sup> April, 2019** to **30<sup>th</sup> September, 2019**.

**Place: Bhubaneswar**  
**Date: 26<sup>th</sup> November, 2019**

**For: Saroj Ray & Associates  
(Company Secretaries)**

*Aditi Patnaik*

**CS Aditi Patnaik, ACS  
Partner**

**M. No. A45308, CP No. 18186  
UDIN Number: A045308A000305513**

