

PALASH SECURITIES LIMITED

CIN – L74120UP2015PLC069675

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – palashsecurities@birlasugar.org; Website-www.birla-sugar.com

12th April, 2023

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex, Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai-400 001

Symbol : PALASHSECU

Stock Code : 540648

Dear Sir/Madam

Sub:- Compliance Certificate for the year ended 31st March, 2023 pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated April 10, 2023 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the the financial year ended 31st March, 2023.

You are requested to take the same on records.

Thanking you
Yours faithfully,
For Palash Securities Limited

Vikram Kumar Mishra
Company Secretary
FCS: 11269

Encl: As above

Email : smguptaandco@yahoo.com
smguptaandco@gmail.com
Website: www.smguptaandco.com

Offi. :2236 1385,2236 7135
Mobile :98300 57568

S. M. GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE FINANCIAL YEAR ENDED 31.03.2023

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. PALASH SECURITIES LIMITED (CIN:L74120UP2015PLC069675)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the Financial year ended 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of electronic mode, we hereby certify that the Company has generally issued during the above financial year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) sub-division, consolidation and renewal received during the financial year ended 31st March, 2023 as entered in the said Registers within thirty days from respective dates of lodgement of such deeds excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgement.

Place : Kolkata
Date : 10.04.2023

For S. M. Gupta & Co.
Company Secretaries
Firm Registration No.: S1993WB816800



S. M. Gupta
Proprietor

Membership Number: FCS-896

C. P. No: 2053

Peer Review: 2464/2022

UDIN: F000896E000043906