

April 12, 2021

To,  
Dept. of Corporate Services (CRD)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code: 540192**

Dear Sir / Madam,

**Sub: Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated April 10, 2021, obtained from Practicing Company Secretary for the half year ended March 31, 2021.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,  
For **LKP Securities Limited**

**Akshata Vengurlekar**  
**Company Secretary**

Encl.: As above

**LKP Securities Ltd.**

Regd Off: 203 Embassy Centre, Nariman Point, Mumbai – 400021, Phone: 022 – 2282 8234, Fax 022 – 2284 2415  
Head Off: 1303 – 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai – 400021  
Tel.: +91 22 6635 1234 . Fax: +91 22 6635 1249 . Website: [www.lkpsc.com](http://www.lkpsc.com),  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

# V.R. ASSOCIATES

*Company Secretaries*

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Resi: G-5/3 Jal Padma, Bangur Nagar, Goregaon West, Mumbai 400 104  
Admn office: 31 Topiwala Center, Goregaon West, Mumbai 400 062  
Tel: 022-28774306; Mobile 98214 47548; e-mail: [cs.ram25@gmail.com](mailto:cs.ram25@gmail.com)  
GST No. 27ACSPV8251A1Z7 ; PAN: ACSPV8251A; MSME Regn no. MH18D0041106

## CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

We have examined the relevant books, registers, forms, documents and records of LKP Securities Limited (the company), maintained and produced before us by Adroit Corporate Services Pvt. Ltd., their Registrar & Transfer Agents, in respect of share transfers lodged for registration during the half year ended 31<sup>st</sup> March, 2021 for the purpose of issuing the certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”).

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purposes of certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended on 31<sup>st</sup> March, 2021, delivered all certificates duly endorsed within the period stipulated under the Listing Regulations. During the period under review, 3 share transfers for 2400 shares were approved and the share certificates dispatched within the stipulated period.

*\*In view of the covid pandemic and office lockdown situation, all documents were examined digitally*

For V.R. Associates  
Company Secretaries

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V. Ramachandran  
C.P. No. 4731

Place: Mumbai  
Date: 10/04/2021  
UDIN: A007731C000051161