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Ambalal Sarabhai Enterprises Limited

Registered Office : Shantisadan, Mirzapur Road, Ahmedabad-380001.
Telephone : +9179-25507671 / 25507073, Fax : +9179-25507483, E-mail : ase@sarabhai.co.in

Ref. No. :

Date: 9th October, 2020

To,
BSE Limited
Listing Dept. /Dept. of Corporate Services
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai - 400 001

Security Code: 500009

Sub.: Certificate under Regulation 40(9) of the SEBI (Depositories and Participants) Regulations, 2018 for the half year ended on 30th September, 2020.

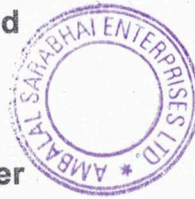
Dear Sir,

We herewith submit Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended on 30th September, 2020 which is given by M/s. Ajay Parikh & Associates, Company Secretaries, Ahmedabad.

You are requested to take the above on record.

Thanking you,
For **Ambalal Sarabhai Enterprises Limited**


Damodar H. Sejpal
Company Secretary & Compliance Officer
Encl.: As above



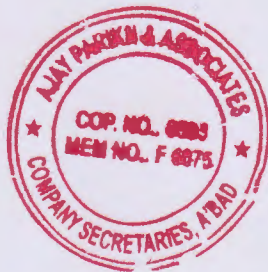
CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30.09.2020.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Ambalal Sarabhai Enterprises Limited** maintained by M/s MCS Share Transfer Agent Ltd, Registrar & Transfer Agents pertaining to transfer of equity shares of the company for the period from 01.04.2020 to 30.09.2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the 'SEBI (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 and Sign in uniform Listing Agreement with Company and BSE for above said LODR-2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30.09.2020:

A) Share Certificate(s) relating to the transfer of Shares received during the period from 01.04.2020 to 30.09.2020 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable*
The Company has not received any request for issue of Duplicate Share Certificates.

Date : 08.10.2020
Place : Ahmedabad



For Ajay Parikh & Associates,
COMPANY SECRETARIES

Ajay Parikh

Proprietor
Practicing Company Secretary
FCS No. 6075; C.P. No. 6503
UDIN No: F006075B000878311