

# SAPTAK CHEM AND BUSINESS LIMITED

Regd. Office: 3, Mohan Chamber, Beside Post Office, Dakor Kheda GJ 388225

Contact No.: + 919909996192 Website: [www.saptakchem.com](http://www.saptakchem.com)

Email id: [info@saptakchem.com](mailto:info@saptakchem.com) CIN: L24299GJ1980PLC101976

---

23<sup>rd</sup> May, 2020

To,  
BSE Limited  
Ground Floor, P.J. Tower  
Dalal Street  
Mumbai- 400001

Scrip Code: 506906

Dear Sir/Madam,

**Sub: Compliance with the Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

With reference to above, please find Half Yearly compliance certificate for the half year ended 31<sup>st</sup> March, 2020 in compliance with the Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Please take the same on your record.

Thanking You,

Yours Faithfully

For Saptak Chem and Business Limited



**Jitendra Sharma**  
CFO

Encl.: As above

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR)  
REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020.

UDIN NO. A025467B000267709

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

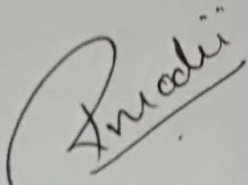
Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Saptak Chem and Business Limited (the Company) maintained by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

- A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01st October 2019 to 31st March, 2020.



1.b. the Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to renewal and subdivision (split) received during the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for consolidation and exchange or endorsement of calls/allotment monies during the said period. **Not Applicable as no requests received for the same during the reporting period.**

B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.



**Rupali Modi**  
Practicing Company Secretary  
C. P. No. 11350  
Membership No. 25467



Place: Mumbai  
Date: 21<sup>st</sup> May, 2020