



# SUPRA PACIFIC

MANAGEMENT CONSULTANCY LIMITED

A Non-Banking Financial Company

(Shares listed at BSE)

CIN: L74140MH1986PLC039547

Regd. Office: 1/203, Vishal complex, Narsing lane,  
Off S. V. Road, Malad (West), Mumbai - 400064

SPMCL/2021

Date: 21.05.2021

To Listing Department  
BSE Limited  
Phiroze Jeeheebhoy Towers,  
Dalal Street, Mumbai-400001

Sub: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2021

Ref: Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February, 8, 2019, please find enclosed the Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2021 issued by the Abhilash NA Practicing Company Secretary.

You are requested you to take note the same for your record.

Thanking You

Yours Faithfully

For Supra Pacific Management Consultancy Ltd

Dipu George  
Company Secretary



Corporate Office: 24/563 A7, Central Tower, Pillar No: P/319,  
Near Municipal Town Hall, Kalamassery, Kochi, Kerala - 682033

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**ANNUAL SECRETARIAL COMPLIANCE REPORT OF SUPRA PACIFIC MANAGEMENT  
CONSULTANCY LIMITED FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I, Shri. Abhilash Nedyalil Abraham, Practising Company Secretary (M No.F10876; C.P No.14524), 32/1721A, Pallisseri Kavala, N. H. Bypass, Puthiya Road, Kochi-25 have examined:

- (a) all the documents and records made available to us and explanation provided by Supra Pacific Management Consultancy Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to BSE Limited,
- (c) website of the listed entity,

for the financial year ended 31<sup>st</sup> March, 2021("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018  
**(Not applicable during the review period);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable during the review period);**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not applicable during the review period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not applicable during the review period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

**CS ABHILASH NEDIYALIL ABRAHAM**

B.B.A, LL.B, F.C.S

PRACTISING COMPANY SECRETARY



Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 17(1)(b) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	There was one short of the required number of Independent Directors on the Board of the Company for the limited period between 14.07.2020 to 11.03.2021 consequent to the resignation of Sharad Laxman Kulkarni and Harish Ramappa Kotian, Independent Directors on 14.07.2020.	Even though there was one short of the required independent directors for the limited period, the composition of the Board has been regained on 12.03.2021.
2	Regulation 19 A of the Securities Contracts Regulation Rules 1957 r/w Regulation 28 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.	The Company has not achieved minimum public shareholding as on 31.03.2021.	The Company had taken steps to achieve the minimum public shareholding by resorting to preferential issue of equity shares. However, the matter is under consideration before the SEBI for their approval.

32/1721A, Palliseri Kavala, N.H. Bypass, Puthiya Road, Kochi – 25

Mob: 94468 65161, Office: 0484 4017133, e-mail: abislab2015@gmail.com

**CS ABHILASH NEDIYALIL ABRAHAM**

B.B.A, LL.B, F.C.S

PRACTISING COMPANY SECRETARY



3	Regulation 23(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	40 days delay in filing Disclosure Of Related Party Transaction as per Regulation 23(9) Of The SEBI LODR Regulation 2015	The Company had filed Disclosure on 19.01.2021.
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(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Ltd	40 days delay in filing the disclosure under Regulation 23(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Fine of Rs 1,80,000 plus GST imposed vide there mail notice dated 18.01.2021	The Company paid the fine vide its letter dated 23.01.2021



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	NIL			

UDIN: F010876C000349302

Unique Code No. I2015KE2046800

PR No. 728/2020

Date: 20.05.2021

Place: Kochi

**Abhilash Nedyalil Abraham**  
Digitally signed by  
Abhilash Nedyalil  
Abraham  
Date: 2021.05.20  
16:38:39 +05'30'

Abhilash Nedyalil Abraham

B.B.A, LL.B., F.C.S

Practising Company Secretary

M.No. F10876, C.P. No. 14524