#### DOLPHIN OFFSHORE

ENTERPRISES (INDIA) LIMITED



UNIT NO 1003 CHAMBERS, CHAKALA, NEAR W.E.H METRO STATION, ANDHERI KURLA ROAD, ANDHERI EAST. MUMBAI 400069

Email info@dol\_hinoffshore.com

30<sup>th</sup> May, 2023

To,
Corporate Relations Department
BSE Limited
2<sup>nd</sup> Floor, P.J. Towers,
Dalal Street,
Mumbai – 400 001
SCRIP CODE: 522261

To,
Corporate Relations Department
National Stock Exchange of India Ltd
Exchange Plaza, Plot No. C-1, Block-G,
Bandra Kurla Complex, Bandra (East),
Mumbai – 400 051.
SYMBOL: DOLPHINOFF

Sub: Annual Secretarial Compliance Report for the Financial Year ended March 31, 2023

Dear Sir/ Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 and circulars issued by NSE and BSE dated March 16, 2023 and April 10, 2023, please find enclosed herewith Annual Secretarial Compliance Report for the financial year ended on March 31, 2023 issued by M/s. R. K. Choksi & Co., Practicing Company Secretaries.

You are requested to take the same on your records.

Thanking you,

Yours faithfully,

For, Dolphin Offshore Enterprises (India) Limited

Dharen Sha ilal Savla Chairman & Director DIN: 00145587

Encl: a/a



#### Secretarial Compliance Report of Dolphin Offshore Enterprises (India) Limited for the financial year ended 31st March, 2023.

I have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by Dolphin Offshore Enterprises (India) Limited (hereinafter referred as 'the listed entity'), having its Registered Office at Unit No. 1003 Chambers, Chakala Near W.E.H Metro Station, Andheri Kurla Road, Andheri (East) Mumbai MH 400069 IN Secretarial Review was conducted in a manner that provided me a reasonable basis for evaluating the corporate conducts/statutory compliances and to provide my observations thereon.

Based on my verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, I hereby report that the listed entity has, during the review period covering the financial year ended on 31st March, 2023 complied with the statutory provisions listed hereunder in the manner and subject to the reporting made hereinafter:

- I, CS Rutesh Choksi, Practicing Company Secretary have examined"
  - (a) all the documents and records made available to us and explanation provided by Dolphin Offshore Enterprises (India) Limited ("the listed entity"),
  - (b) the filings/ submissions made by the listed entity to the stock exchanges,
  - (c) website of the listed entity,
  - (d) any other document/ filing, as may be relevant, which has been relied upon to make this report, for the financial year ended 31st March, 2023 ("Review Period") in respect of compliance with the provisions of:
  - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements)

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Regulations, 2018;

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; This was not applicable to the listed Entity
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; This was not applicable to the listed Entity
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; This was not applicable to the listed Entity
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; This was not applicable to the listed Entity
- (9) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder; and based on the above examination, I hereby report that, during the Review Period:
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified In Annexure-1:
  - (b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Complia	Regu	Deviati	A	Ту	Detai	Fi	Ob-	Man-	Re
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specific clause)		pany Secre- tary	
	Advisor y/ Clarifica tion/ Fine/Sh ow Cause Notice/ Warnin g, etc.		

NILL.

SINCE THE COMPANY WAS UNDER THE IBC FROM JULY, 2019 UNTILL TILL 29TH SEPTEMBER, 2022, NO ANNUAL SECRETARIAL COMPLIANCE CERTIFICATE WAS OBTAIN IN THE PREVIOUS YEAR BY THE MANAGEMENT OF THE COMPANY SINCE THE POWERS OF THE BOARD OF DIRECTORS WERE SUSPENDED AND THE SAME WERE TO BE EXERCISED BY RP. PLEASE NOTE THAT THE RESOLUTION APPLICANT GOT CONTROL OF THE COMPANY WEF JANUARY 02.2023.

II. Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:



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Sr. No.	Particulars  Compliances with the following conditions wh		Observations/ Remarks by PCS* e-appointing an auditor	
	<ul> <li>i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or</li> <li>ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or</li> <li>iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.</li> </ul>	NA	There are no events of Appointment/Resignat ion of auditor resigned within 45 days from the end of a quarter of a financial year or after 45 days from the end of a quarter of a financial year M/s. Kavita Birla & Co, Chartered Accountants, (ICAI FRN: 013208C) who has resigned from the position of Statutory Auditors, with effect from closing of business hours of February 28, 2023.	
2.	Other conditions relating to resignation of stat	utory auditor		
	Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:	NA	NONE	

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a.	In case of any concern with the	NA	NONE
	management of the listed entity/material subsidiary such as non-availability of information <i>I</i> non- cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall	NA	NONE
	receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.	NA	NONE
b.	In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the		
	proposed resignation is due to non-receipt of information <i>I</i> explanation from the company, the auditor has informed the Audit Committee the details of information/ explanation sought and not provided by the	NA	NONE
c.	management, as applicable.  The Audit Committee <i>I</i> Board of		
	Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the	NA	NONE

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	management and the auditor.		
	ii. Disclaimer in case of non-receipt of information:		
	The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI I NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.		
3.	The listed entity <i>I</i> its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in	NA	There are no information available of any material Subsidiary

III. I hereby report that, during the review period the compliance status of the listed entity is appended as below:



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Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	Secretarial Standards:  The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI).	NO	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management on 02nd January, 2023.  As per the provisions of IBC, the powers of the Board of Directors are suspended and the same are vested with the Resolution Professional.  There were no proper Board-processes and compliance mechanism in place till the new management has taken over.

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2.	Adoption and	timely update	ion of the Policies:
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- All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities
- All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI

Yes

NO

The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management on 02nd January, 2023.

As per the provisions of IBC, the powers of the Board of Directors are suspended and the same are vested with the Resolution Professional.

There were no proper Board-processes and compliance mechanism in place till the new management has taken over.



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3.	Maintenance and disclosures on Website:		
	The Listed entity is maintaining a functional website	Yes	-
	Timely dissemination of the documents/ information under a separate section on the website		
	<ul> <li>Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/section of the website</li> </ul>		
4.	Disqualification of Director:  None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013as confirmed by the listed entity.	NA	As per the provisions of IBC, the powers of the Board of Directors were suspended and the same are vested with the Resolution Professional.
5.	Details related to Subsidiaries of listed entities have been examined w.r.t.:  (a) Identification of material subsidiary companies	NA	There are no information available of any material Subsidiary
6.	Preservation of Documents:  The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of	YES	-
	Preservation of Documents and Archival policy		

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7.	Performance Evaluation:	NO	As per the provisions of
	The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.		IBC, the powers of the Board of Directors are suspended and the same are vested with the Resolution Professional.
8.	Related Party Transactions:  (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or  (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	NO	As per the provisions of IBC, the powers of the Board of Directors are suspended and the same are vested with the Resolution Professional.  there are no proper Board-processes and compliance mechanism in place.
9.	Disclosure of events or information:  The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	NO	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management on 02nd January, 2023.

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10	Prohibition of Insider Trading:  The listed entity is incompliance with Regulation 3(5) &3(6) SEBI (Prohibition of Insider Trading)  Regulations, 2015.	NO	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management on 02nd January, 2023.
11.	Actions taken by SEBI or Stock Exchange(s), if any:  No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued	NO	BSE & NSE has Suspended the Company due to Penal reasons & Suspended due to Procedural reasons.
12	Additional Non-compliances, if any:  No additional non-compliance observed for any SEBI regulation/circular/guidance noteetc.		The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management or 02nd January, 2023, the company made the

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Note:

1. Initiation of Corporate Insolvency Resolution Process as per Insolvency and Bankruptcy Code 2016 (IBC)

The National Company Law Tribunal ("NCLT"), Mumbai Bench, vide its order dated July 16, 2020 ("Insolvency Commencement Order") has commenced the Corporate Insolvency Resolution Process ("CIRP") in CP No. 4087/NCLT/MB/2018 in the matter of Supreme Hydra Engineering Private Limited vs. Dolphin Offshore Enterprises (India) Limited. Mr. Vinit Gangwal, (IBBI Registration No. IBBI/IPA-001/IP-P00304/ 2017-18/10568) was appointed as interim resolution professional ("IRP") to manage affairs of the Company in accordance with the provisions of the Code.

By the order dated December 04, 2020, Mr. Dinesh Kumar Aggarwal (IBBI Registration No. IBBI/ IPA-002/IP-N00890/2019-2020/12483) had been confirmed as Resolution Professional ("RP" / "Resolution Professional") for the Company.

Pursuant to the Insolvency Commencement Order and in line with the provisions of the Insolvency and Bankruptcy Code, 2016 ("Code") the powers of the Board of Directors were suspended and the same were to be exercised by IRP / RP.

In accordance with section 17(1)(b) read with section 22 of the IBC, the powers of the board of directors of the Company were suspended and were vested in the RP and such powers are currently vested with RP.

The NCLT vide order pronounced on 29th September, 2022 ("Order") approved the Resolution Plan of the Company submitted by Deep Industries Limited ("Resolution Applicant"), under section 31 of the IBC ("Resolution Plan").

Assumptions & Limitation of scope and Review:

- Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
- Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.

3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.

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4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For, R. K. Choksi & Co.



Rutesh Choksi

Practicing Company Secretary

FCS-11694 CP-17315

PR No. 3393/2023

UDIN: F011694E000431476

Place: Ahmedabad

Date: 30.05.2023

#### ANNEXURE-1

Sr. No.	Compliance Requirement (Regulations/ circulars/ guide-lines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Re-marks of the Practicing Company Secretary	Management Response	Remarks
					Advisory/ Clarification/ Fine/Show Cause Notice/ Warning, etc.			4.		
1.	Closure of Trading Window- For the Quarter ended on 31st March, 2022	SEBI (PIT) Regulations, 2015	No Intimation of Closure of Trading Window was given to the Stock Exchanges	Choksy		-		Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management	

FCS 11694 \*
CP 17315 \*

2.	Regulation 7	SEBI Listing Regulations 2015	No Compliance		-	-	Non Compliance	on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.  The Company was under IBC till 29th	-
	Compliance Certificate certifying maintaining physical & electronic transfer facility-For the Year ended on 31st March, 2022	(LODR)	Certificate of was submitted to the Stock Exchanges	4. Choks	a constant of the constant of			September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be	

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							made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
3.	Regulation 13 (3) - Statement of Investor complaints For the Quarter ended on 31st March, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted Statement of Investor complaints to the Stock Exchanges	- notes		Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the	

CP-17315 & A Secre of the Secretor of

4.	Regulation 27 (2) - Corporate	SEBI Listing Regulations 2015	Company has			Non Compliance	company made the compliances for December' 2022 quarter also as far as possible by the new management.  The Company was under IBC till 29 <sup>th</sup>	-
	Governance For the Quarter ended on 31st March, 2022	(LODR)	Submitted Corporate Governance Report to the Stock Exchanges	, Ch	031 & CO	Compilance	September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far	

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		1		1						
									as possible by the	
5.	Damilation 21	CEDITION	-						new management.	
٥.	Regulation 31	SEBI Listing	Company has	-	-	-	-	Non	The Company was	
	- Shareholding	Regulations 2015	not	1 1				Compliance	under IBC till 29th	
	Pattern- For	(LODR)	Submitted						September, 2022	
	the Quarter		Shareholding						and the new	
	ended on 31st		Pattern to the						management was	
	March, 2022		Stock						appointed from	
			Exchanges						15th December,	
			7						2022 however as	
									per the control	
									defined in the	
									resolution plan ,	
									the actual control	
									of the Company	
									was given to the	
									new management	
									on 02nd January,	
									2023, therefore the	
					-				compliances were	
									supposed to be	
						- '			made from March'	
									23 onwards	
									however in good	
						11			compliance the	
				111111111111111111111111111111111111111					company made the	
			V 1						compliances for	
									December 2022	_
								1 (10)	quarter also as far	
		-,	Larger Comment	1					as possible by the	
			of the later and a						new management.	
6.	Regulation 29	SEBI Listing	Company has	-	-	-	1.	Non	The Company was	-
0.	- Prior	Regulations 2015	not given the		_			Compliance	under IBC till 29 <sup>th</sup>	
	- FIIOI	negulations 2015	HOL BIVEILLIE		1	noksi e		Compliance	under ibc till 29	

FCS 11694 + CP 17315 & Secretary

	Intimation of Board Meeting.	(LODR)	Prior Intimation of The Board Meeting including the Board Meeting for Approval of Financial Results and others					September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022	
								compliances for	
								new management.	
7.	Regulation 30  – Outcome of Board Meeting.	SEBI Listing Regulations 2015 (LODR)	Company has not Disclose the outcome of The Board Meeting		-	-	Non Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was	
	1.		including the					appointed from	1 1

Choksi & C. FCS 11694 \*

\* CP 17315 &

			Board Meeting for Approval of Financial Results and others						15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
8.	Regulation 33 - Financial Results For the Quarter and Year ended on 31st March, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted the Audited Financial Result for the Quarter and Year ended on 31st March, 2022	-	• ,	Chok	i e	Non Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the	-

FCS 11694 \*

(CP 17315) \*\*

(CP 17315) \*\*

			1				,			
		,							resolution plan ,	
		1							the actual control	
						-			of the Company	
									was given to the	
									new management	
		=	_						on 02nd January,	
									2023, therefore the	
								1	compliances were	
						1			supposed to be	
			1						made from March'	
-									23 onwards	,
	-								however in good	
									compliance the	
				1					company made the	
									compliances for	
									December' 2022	
									quarter also as far	
									as possible by the	
									new management.	
9.	Regulation 34	SEBI Listing	Company has	-	-	-	-	Non	The Company was	
	-Annual	Regulations 2015	not					Compliance	under IBC till 29 <sup>th</sup>	
	Report	(LODR)	Submitted						September, 2022	
			the Annual				10 17 11		and the new	
			Report for		T 5 "			1 15	management was	
			the Year						appointed from	
			ended on						15th December,	
			31st March,						2022 however as	1
			2022						per the control	1
					1 7 2				defined in the	
									resolution plan ,	
							1	1	the actual control	
									of the Company	
							choksi		was given to the	

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			1							-
							1		new management	
							1		on 02nd January,	
							1		2023, therefore the	
							1		compliances were	
						7.0			supposed to be	1 1
							1		made from March'	
							1		23 onwards	
									however in good	
				-				1	compliance the	
									company made the	
									compliances for	
	1	10 To							December 2022	
		T 75 == 1 1 1 1	1.49						quarter also as far	
									as possible by the	
			The state of the s						new management.	
10.	Reg 74(5) - For	SEBI (Depositories and	Company has	-	-	-	-	Non	The Company was	-
	the Quarter	Participants)	not			1 -		Compliance	under IBC till 29th	
	ended on 31st	Regulations, 2018	Submitted				1 100		September, 2022	
	March, 2022		the	-		1		100	and the new	
			Certificate to					-	management was	
			be obtained						appointed from	
			from RTA					16, 11	15th December,	
			under Reg.					production of	2022 however as	
			74(5) for the	-					per the control	
			Quarter						defined in the	
			ended on						resolution plan ,	
			31st March,						the actual control	
			2022			F A			of the Company	
								1 0	was given to the	
						F 1			new management	1
						1			on O2nd January,	
									2023, therefore the	
							Choksi &	#	compliances were	

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supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December 2022 quarter also as far as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  SEBI (Depositories and Participants)  Regulations, 2018  Company has Non The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the									,		
11. Reg 76 - SEBI (Depositories and Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  March, 2022   SEBI (Depositories and Participants) not Submitted the Report of Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  SEBI (Depositories and Participants) not Submitted the Report of Reconciliation of Share Capital Audit for the Quarter ended on 31st March, 2022  March, 2022  March, 2022  Mon The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the										supposed to be	
however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March, 2022  March, 2022  March are participants of the Company has appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the										made from March'	1
compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  Regulations, 2018  SEBI (Depositories and Participants)  Regulations, 2018  Company has not Compliance To Peccamber' 2022 quarter also as far as possible by the new management.  The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the							1			23 onwards	1
The Company made the compliances for December' 2022 quarter also as far as possible by the new management.								1 - 1		however in good	
11. Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  Reg 76 - Reconciliation of Share Capital Audit for the Quarter ended on 31st March, 2022  March, 2022  Regulations, 2018  SEBI (Depositories and Participants) not not not Company was - under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the										compliance the	1
December 2022 quarter also as far as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit- For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March, 2022  December 2022 quarter also as far as possible by the new management.  Non Compliance  Submitted the Report of Reconciliation of Share Capital Audit for the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March, 2022  December 2022 quarter also as far as possible by the new management.  Non Compliance  Submitted the Report of Reconciliation of Share Capital Audit for the Quarter ended on 31st Audit for the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March 2022  Mar								111		company made the	
December 2022 quarter also as far as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit- For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March, 2022  December 2022 quarter also as far as possible by the new management.  Non Compliance  Submitted the Report of Reconciliation of Share Capital Audit for the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March, 2022  December 2022 quarter also as far as possible by the new management.  Non Compliance  Submitted the Report of Reconciliation of Share Capital Audit for the Quarter ended on 31st Audit for the Quarter ended on 31st March, 2022  March, 2022  March, 2022  March 2022  Mar										compliances for	
as possible by the new management.  11. Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  March, 2022  SEBI (Depositories and Participants)										December 2022	
11. Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022    March, 2022   SEBI (Depositories and Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022   September Capital Audit-For the Capital Audit-For										quarter also as far	
Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  Reg 76 - Reconciliation of Share Capital Audit-For the Quarter ended on 31st March, 2022  March, 2022  Reg 76 - Reconciliation of Share Capital Audit for the Quarter ended on 31st March, 2022  March, 2022  Regulations, 2018  Company has not Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the										as possible by the	
Reconciliation of Share Capital Audit- For the Quarter ended on 31st March, 2022  Regulations, 2018  Regulations, 2018  Participants) Regulations, 2018  Submitted the Report of Reconciliation of Share Capital Audit for the Quarter Quarter ended on 31st March, 2022  Amount is a point in the resolution plan of the actual control of the Company was given to the new management on 02nd January, 2023, therefore the										new management.	
of Share Capital Audit- For the Quarter ended on 31st March, 2022  March, 2022  March 2022	11.		SEBI (Depositories and	Company has	-	-		-	Non	The Company was	-
Capital Audit- For the Quarter ended on 31st March, 2022  Capital Audit For the Quarter ended on 31st March, 2022  Capital Audit Capital Audit For the Quarter Ended on 31st Capital Audit For the Quarter Ended on 31st Capital Audit Ended on 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the			Participants)	not					Compliance	under IBC till 29th	
For the Quarter ended on 31st Capital Audit for the Quarter ended on 31st March, 2022 for the actual control of the Company was given to the new management on 02nd January, 2023, therefore the			Regulations, 2018	Submitted						September, 2022	
Quarter ended on 31st March, 2022  March, 2022  God Share Capital Audit March, 2022  for the Quarter ended on 31st March, 2022  Quarter ended on 31st March, 2022  the actual control of the Company was given to the new management on 02nd January, 2023, therefore the				the Report of						and the new	1
on 31st March, 2022  Capital Audit for the Quarter ended on 31st March, 2022  2022  Capital Audit for the 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the				Reconciliation				- Y		management was	1
March, 2022  for the Quarter ended on 31st March, 2022  the actual control of the Company was given to the new management on 02nd January, 2023, therefore the	1	Quarter ended		of Share						appointed from	
Quarter ended on 31st March, 2022  the actual control of the Company was given to the new management on 02nd January, 2023, therefore the		on 31st		Capital Audit		-			1000	15th December,	
ended on 31st March, 2022  the actual control of the Company was given to the new management on 02nd January, 2023, therefore the		March, 2022		for the						2022 however as	
31st March, 2022  resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the				Quarter						per the control	
the actual control of the Company was given to the new management on 02nd January, 2023, therefore the				ended on						defined in the	
of the Company was given to the new management on 02nd January, 2023, therefore the				31st March,					1	resolution plan ,	1 1
was given to the new management on O2nd January, 2023, therefore the				2022						the actual control	1
was given to the new management on O2nd January, 2023, therefore the										of the Company	1
on 02nd January, 2023, therefore the				` '					1.25	was given to the	1
2023, therefore the				_ 7						new management	1 1
									,	on 02nd January,	1 1
										2023, therefore the	1 1
compliances were							1 7 (7)			compliances were	1 1
supposed to be											
made from March'											
23 onwards									1		1 1
however in good											1 1

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							compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
12.	Reg. 14 – Listing Fees	SEBI Listing Regulations 2015 (LODR)	Company has not Paid the Listing fees to the Stock Exchanges.		- ho	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022	

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								,		
									quarter also as far	
				1,1					as possible by the	
			1 1 1 1 1						new management.	
13.	Closure of	SEBI (PIT) Regulations,	No Intimation	-	-	-	-	Non	The Company was	
	Trading	2015	of Closure of					Compliance	under IBC till 29th	
	Window- For		Trading			1		,	September, 2022	
	the Quarter		Window was	100					and the new	
	ended on 30th		given to the						management was	
	June, 2022		Stock			2.559			appointed from	
	,		Exchanges	-			l .		15th December,	
			- Literian geo				1		2022 however as	
									per the control	
									defined in the	
									resolution plan ,	
						1			the actual control	
									of the Company	
									was given to the	
1					1 1 1				new management	
									on 02 <sup>nd</sup> January,	
									2023, therefore the	
									compliances were	
						1 :			supposed to be	
									made from March'	
		1 22 7							23 onwards	
									however in good	
						1			compliance the	
		177							company made the	
					50.				compliances for	
						- 7			December' 2022	
									quarter also as far	
			0.1		100				as possible by the	
								1 .	new management.	
11	Deculation 12	CERLListing	Company has	-	-	-	-	Non	The Company was	-
14.	Regulation 13	SEBI Listing	Company nas			1		1	1	



	(3) - Statement of Investor complaints For the Quarter ended on 30th June, 2022	Regulations 2015 (LODR)	not Submitted Statement of Investor complaints to the Stock Exchanges			Compliance	under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
15.	Regulation 27 (2) - Corporate Governance For the Quarter ended	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted Corporate Governance	-	chol	Non Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was	-

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	on 30th June, 2022		Report to the Stock Exchanges						appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
16.	Regulation 31 - Shareholding Pattern- For the Quarter ended on 30th June, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted Shareholding Pattern to the Stock Exchanges	-	-	-	- Choksi &	Non Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December, 2022 however as per the control	

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	1									
									defined in the	
					1				resolution plan ,	1
						1			the actual control	1
						1			of the Company	1
									was given to the	1
			1						new management	1
						100			on O2nd January,	
									2023, therefore the	1
									compliances were	
									supposed to be	
									made from March'	
									23 onwards	
									however in good	
									compliance the	
									company made the	
						1 20 1			compliances for	
			10000						December' 2022	
								1	quarter also as far	
									as possible by the	
								4.4	new management.	
17.	Regulation 29	SEBI Listing	Company has	-	-	-	-	Non	The Company was	-
	- Prior	Regulations 2015	not given the					Compliance	under IBC till 29th	
	Intimation of	(LODR)	Prior					1 12	September, 2022	
	Board		Intimation of					1 19720	and the new	
	Meeting For		The Board		1			133400	management was	
	the Period of		Meeting	1000				Design :	appointed from	
	the Quarter		including the					1.50	15th December,	
	ended on 30th		Board					- 9 1E 6	2022 however as	
	June, 2022		Meeting for						per the control	
	,		Approval of	Pi i		1		1-3-4	defined in the	1
			Financial						resolution plan ,	
			Results and	17.5				1. 15.00	the actual control	
			others						of the Company	1
			Others				/ als	-	of the company	

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19.   Regulation 33   Financial Results. For the Quarter ended on 30th June, 2022   Supposed to be made from March'   23 onwards however in good compliance the compliances for December' 2022 quarter also as far as possible by the new management.   19.   Non Compliance   10 Compliance											
supposed to be made from March'  23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the new management.  19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  19. Regulation 2015 (LODR)  19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  19. Non The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'										compliances were	
19. Regulation 33 - Financial Results - For the Quarter ended on 30th June, 2022  19. Regulation 22  19. Regulation 30 - Non Compliance The Company was under IBC till 29 September, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'							1				
19. Regulation 33 - Financial Results- For the Quarter ended on 30th June, 2022  The Company has on 30th June, 2022  Regulations 2015  In the Quarter ended on 30th June, 2022  The Company has on the Quarter ended on 30th June, 2022  Results- For the Quarter ended on 30th June, 2022  Regulations 2015  It company has on the Company was on the Quarter ended on 30th June, 2022  Regulations 2015  Regulations 2015  It company has on the Company was on the Compliance of the Quarter ended on 30th June, 2022  Regulations 2015  Regulations 2015  Regulations 2015  It company has on the company was on the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management on 2014 June, 2022 the Regulations 2015  Results- For the Company was given to the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 15th December, 2022 and the new management was appointed from 2022 and th							1				
however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.  19. Regulation 33 - Financial Results- For the Quarter ended on 30th June, 2022  Indicate the Audited Financial For the Quarter ended on 30th June, 2022  Indicate the Audited Financial For the Quarter ended on 30th June, 2022  Indicate the Audited Financial For the Quarter ended on 30th June, 2022  Indicate the Audited Financial For the Quarter ended on 30th June, 2022  Indicate the Audited Financial For the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'											
19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022    June, 2022											
19. Regulation 33 - Financial Results. For the Quarter ended on 30th June, 2022  19. Regulation 30 SEBI Listing Regulations 2015 (LODR)  Results. For the Quarter ended on 30th June, 2022  19. Regulation 30 SEBI Listing Regulations 2015 (LODR)  Results. For the Quarter ended on 30th June, 2022  10. Regulation 30 SEBI Listing Regulations 2015 (LODR)  Regulation 30 SEBI Listing Regulations 2015 (LODR)  10. Non The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'											
19. Regulation 33 - Financial Results. For the Quarter ended on 30th June, 2022  19. Regulation 2015 (LODR)  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  Submitted the Audited Financial For the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'										'	
19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  June, 2022  SEBI Listing Regulations 2015 (LODR)  Regulations 2015 (LODR)  SEBI Listing Regulations 2015 (LODR)  Regulations 2015 (LODR)  Submitted  Financial For the Audited Financial For the Quarter ended on 30th June, 2022  The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'											
19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  The Quarter ended on 30th June, 2022  SEBI Listing Regulations 2015 (LODR)  Company has Non Compliance  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  The Quarter ended on 30th June, 2022  The Company was appointed from 15th December, 2022 where as per the control defined in the resolution plan the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'										,	1
19. Regulation 33 - Financial Results. For the Quarter ended on 30th June, 2022  19. Regulation 30 SEBI Listing Regulations 2015 (LODR)  19. Regulation 33 - Financial Results. For the Quarter ended on 30th June, 2022  19. SEBI Listing Regulations 2015 (LODR)  19. Non The Company was - Compliance  19. Non Compliance  19. Non Compliance  19. Non The Company was - Compliance  19. Non The Company was - Compliance  19. Non Compliance  19. Non Compliance  19. Non The Company was - Compliance  19. Non Compli											
19. Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  SEBI Listing Regulations 2015 (LODR)  Submitted the Audited Financial For the Quarter ended on 30th June, 2022  September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'										,	
Regulation 33 - Financial Results For the Quarter ended on 30th June, 2022  Results For the Quarter ended on 30th June		1									
- Financial Results For the Quarter ended on 30th June, 2022    Part of the Quarter ended on 30th June, 2022	19.	Regulation 33	SEBI Listing	Company has	-	-	-	-	Non		-
Results For the Quarter ended on 30th June, 2022  In the Quarter ended on 30th June, 2022 to the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'		- Financial	Regulations 2015								1
the Quarter ended on 30th June, 2022  2022  the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'		Results For	(LODR)	Submitted					gop.iidiide		1 1
Financial For the Quarter ended on 30th June, 2022  Financial For the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'		the Quarter		the Audited							1 1
the Quarter ended on 30th June, 2022  the Quarter ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'		ended on 30th		Financial For				1	1 .		1
ended on 30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'		June, 2022		the Quarter							
30th June, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'				ended on		_					
per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'				30th June,							1 1
defined in the resolution plan , the actual control of the Company was given to the new management on O2nd January, 2023, therefore the compliances were supposed to be made from March'				2022					11 1	The state of the s	1
resolution plan , the actual control of the Company was given to the new management on O2nd January, 2023, therefore the compliances were supposed to be made from March'										1 '	1
the actual control of the Company was given to the new management on O2nd January, 2023, therefore the compliances were supposed to be made from March'											1
of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'											
was given to the new management on O2nd January, 2023, therefore the compliances were supposed to be made from March'											1 1
new management on O2nd January, 2023, therefore the compliances were supposed to be made from March'											1
on O2nd January, 2023, therefore the compliances were supposed to be made from March'									150 2 70	_	1 1
2023, therefore the compliances were supposed to be made from March'											1 1
compliances were supposed to be made from March'											
supposed to be made from March'											
made from March'		_									
l (abokei a)											
// University   23 onwards								Cho	ksi &	23 onwards	1 1

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							however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
20.	the Quarter	SEBI (Depositories and Participants) Regulations, 2018	Company has not Submitted the Certificate to be obtained from RTA under Reg. 74(5) for the Quarter ended on 30th June, 2022		Choks	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for	

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							December 2022 quarter also as far as possible by the new management.	
21.	Reg 76 - Reconciliation of Share Capital Audit- For the Quarter ended on 30th June, 2022	SEBI (Depositories and Participants) Regulations, 2018	Company has not Submitted the Report of Reconciliation of Share Capital Audit For the Quarter ended on 30th June, 2022		•	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the new management.	

FCS 11694 + CP 17315 & CP 17315 &

23.	Closure of Trading Window- For the Quarter ended on 30th September, 2022	SEBI (PIT) Regulations, 2015	No Intimation of Closure of Trading Window was given to the Stock Exchanges			Non	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan, the actual control of the Company was given to the new management on 02th January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
23.	(3) - Statement of Investor	Regulations 2015 (LODR)	not Submitted Statement of			Compliance	under IBC till 29 <sup>th</sup> September, 2022 and the new	



	complaints For the Quarter ended on 30th September, 2022		Investor complaints to the Stock Exchanges						management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
24.	Regulation 27 (2) - Corporate Governance For the Quarter ended on 30th September, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted Corporate Governance Report to the Stock Exchanges	-	-	Choksi &	-	Non Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December, 2022 however as	-

FCS 11694 \*

CP 17315 \*

CP 17

					,				
								per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the	
25.	Regulation 31 - Shareholding Pattern- For the Quarter ended on 30th September, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted Shareholding Pattern to the Stock Exchanges	Choks/ &	[3	-	Non Compliance	new management.  The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control	

# FCS 11694 \*
CP 17315 \*\*
CP 17315 \*\*

									of the Company	
									was given to the	
									new management	
									on O2nd January,	
									2023, therefore the	
									compliances were	
									supposed to be	
							1		made from March'	
									23 onwards	
						1 7			however in good	
									compliance the	
									company made the	
									compliances for	
						1			December 2022	
									quarter also as far	
									as possible by the	
									new management.	
26.	Regulation 29	SEBI Listing	Company has	-	-	-	-	Non	The Company was	-
	- Prior	Regulations 2015	not given the					Compliance	under IBC till 29th	
	Intimation of	(LODR)	Prior						September, 2022	
	Board		Intimation of						and the new	
77. 1	Meeting For		The Board						management was	
	the Period of	The state of the s	Meeting						appointed from	
	the Quarter		including the						15th December,	
	ended on 30th		Board				100		2022 however as	
	September,		Meeting for					1.50	per the control	
	2022		Approval of					1, 31, 15	defined in the	
			Financial					1 1 2	resolution plan ,	
	1171		Results and						the actual control	
			others			1	7 121		of the Company	
			1 1 1 1 1 1 1 1 1		1	hoksi		1, 2, 4	was given to the	
	124,175				4.	1000		100	new management on 02nd January,	
		The state of the s			1/2:/	C 11604	V		on O2nd January,	

FCS 11694 \* CR 17315 \*\*

							2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
27.	Regulation 30  – Outcome of Board Meeting. For the Period of Quarter ended on 30th September, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Disclose the outcome of The Board Meeting including the Board Meeting for Approval of Financial Results and others		hoksi & Co	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'	

# FCS 11694 \*

GP 17315 \*\*

The state of the

								however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
28.	Regulation 33 - Financial Results For the Quarter and Half Year ended on 30th September, 2022	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted the Audited Financial For the Quarter and Half Year ended on 30th September, 2022		Cho FCS	5; & Co.	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the	

FCS 11694 A CP 17315 Sept Secretary

						,		compliances for	
					1			December' 2022	
								quarter also as far	
			_					as possible by the	
								new management.	
29.	Reg 74(5) - For the Quarter ended on 30th September, 2022	SEBI (Depositories and Participants) Regulations, 2018	Company has not Submitted the Certificate to be obtained from RTA under Reg. 74(5) for the Quarter ended on 30th September,		-		Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company	-
			2022					was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March'	
							1 1 2 7	23 onwards however in good compliance the	
								company made the compliances for December' 2022	
			1	,		Choksi		quarter also as far as possible by the	-

FCS 11694 \*\*
CP 17315 \*\*

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					1		1	T		
30.	Reg 76 - Reconciliation of Share Capital Audit- For the Quarter ended on 30th September, 2022	SEBI (Depositories and Participants) Regulations, 2018	Company has not Submitted the Report of Reconciliation of Share Capital Audit For the Quarter ended on 30th September, 2022					Non Compliance	new management.  The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the company made the compliances for December' 2022 quarter also as far as possible by the	
	Regulation	SEBI Listing	Company has	-	-	-	-	Non	new management. The Company was	-
31.	40(9) – Certificate	Regulations 2015 (LODR)	not Submitted	Later		+ Choksi	C	Compliance	under IBC till 29 <sup>th</sup> September, 2022	

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	from PCS For the Year ended on 31st March, 2022	CERLIsting	the Audited Financial For the Year ended on 31st March, 2022				Non	and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
32.	Regulation 24A – Annual Secretarial Compliance Report- For the Year ended on 31st	SEBI Listing Regulations 2015 (LODR)	Company has not Submitted the Annual Secretarial Compliance For the Year		Choks/ d	Coo	Compliance	The Company was under IBC till 29 <sup>th</sup> September, 2022 and the new management was appointed from 15th December,	

FCS 11694 \* CP 17315 \*\*

_ N	March, 2022		ended on							
				1		1 1			2022 however as	
			31st March,			1 1			per the control	
			2022			1 1			defined in the	
									resolution plan ,	1
									the actual control	
						1 1			of the Company	1
									was given to the	
									new management	
									on 02nd January,	
						1 1			2023, therefore the	1
									compliances were	
									supposed to be	
									made from March'	1
									23 onwards	1
									however in good	1 1
7									compliance the	1 1
									company made the	1
1 - 1 -									compliances for	1
	- 1, 1-11								December 2022	
									quarter also as far	1
									as possible by the	1 1
	And It had								new management.	1
R	Regulation 47	SEBI Listing	-	-	-	-	-	Non	The Company was	-
33		Regulations 2015						Compliance	under IBC till 29th	1 1
A	dvertisement	(LODR)							September, 2022	1
F	or the Period								and the new	1
b	etween								management was	1 1
M	March-2022							1.30	appointed from	1
to	o December-								15th December,	1 1
20	022								2022 however as	1 1
						dhoksi &			per the control	
					1/4	60	//		defined in the	1 1
					1 1/00/	FCS 11694 ) *	- \\		resolution plan ,	

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	Large	SEBI Cir.	Company					the actual control of the Company was given to the new management on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.	
34.	Corporate- Annual Disclosure	HO/DDHS/CIR/P/2018/ 144 dated 26-11-2018	Company has not Submitted Disclosure For the Year ended on 31st March, 2022		Chok	1694	Non Compliance	The Company was under IBC till 29th September, 2022 and the new management was appointed from 15th December, 2022 however as per the control defined in the resolution plan , the actual control of the Company was given to the new management	•

CP 17315 &

		Choks/&C	on 02nd January, 2023, therefore the compliances were supposed to be made from March' 23 onwards however in good compliance the company made the compliances for December' 2022 quarter also as far as possible by the new management.
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