

LEADING LEASING FINANCE AND INVESTMENT CO. LIMITED

C-233 G/F, Back Side, West Patel Nagar, New Delhi-110008

CIN: L65910DL1983PLC016712

(E) leadingleasing@gmail.com

(o) 011 – 65632288

(W):www.lfltd.in

To,

BSE Limited
25th Floor, P J Towers,
Dalal Street
Mumbai-400001

Metropolitan Stock Exchange of India Limited
205(A), 2nd Floor, Piramal Agastya Corporate Park,
Kamani Junction, LBS Road, Kurla (West),
Mumbai-400070

Sub: Submission of Annual Secretarial Compliance Report vide SEBI Circular NoCIR/CFD/CMD1/27/2019 dated February 08, 2019 and Regulation 24A of SEBI(Listing Obligation and Disclosure Requirement) Regulation, 2015 for the year ended 31st March, 2023

Dear Sir,

In pursuant to SEBI Circular No CIR/CFD/CMD 1/27/2019 dated February 08, 2019 and Regulation 24A of SEBI (Listing Obligation and Disclosure Requirement) Regulation, 2015, we are attaching herewith the Annual Secretarial Compliance Report of Leading Leasing Finance And Investment Company Limited issued by M/s V Kumar & Associates, Practicing Company Secretaries dated May 29th, 2023 for the financial year ended 31st March, 2023.

Please find the same enclosed herewith.

Kindly consider and take our submission in your records.

Thanking You

Yours faithfully



LEADING LEASING FINANCE AND INVESTMENT CO. LIMITED

ANIL KUMAR SHAH
Whole-Time Director
DIN: 06792048

Date: 29.05.2023

Place: New Delhi



V KUMAR AND ASSOCIATES

Company Secretaries

15/18, Basement, West Patel Nagar, New Delhi-110008

Ph. : +91-11-2588 5492, M. : +91-99102 18035

E-mail : csvivekkumar@gmail.com

Ref. No.

Date

Secretarial Compliance Report of Leading Leasing Finance and Investment Company Limited for the Year Ended 31st March, 2023

I **Vivek Kumar**, Practicing Company Secretary have examined:

(a) all the documents and records made available to us and explanation provided by **Leading Leasing Finance And Investment Company Limited** ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity.

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2023 in respect of compliance with the provisions of:

(1) the Securities and Exchange Board of India Act, 1992 ("SEBI ACT") and the Regulations, circulars, guidelines issued there under; and

(2) the Securities Contract (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI"); including

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirement) Regulations 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of shares and Takeovers) Regulations, 2011; **(Not Applicable during the Audit Period)**

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable during the Audit Period)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable during the Audit Period)**



(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable during the Audit Period)**

(g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **(Not Applicable during the Audit Period)**

(h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013. **(Not Applicable during the Audit Period)**

(i) and circular/guidelines issued thereunder;

and based on the above examination, I hereby report that, during the review period:-

(a) The listed entity has complied with the provisions of the above Regulations and Circular/guidelines issued thereunder, as applicable, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
1.	Submission of Financial Results within the period prescribed under this regulation(REG. 33)	Late submission	The penalty of Rs. 1,35,700 by BSE & 1,35,700 BY MSEI
2.	Regulation 29(2)/29(3)-Delay in furnishing prior intimation about the meeting of the board of directors.	Late Submission	The Penalty of Rs. 11,800 by BSE

(b)The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c)The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

Date: 29th May, 2023
Place: New Delhi



Signature
For V Kumar and Associates
(Company Secretaries)

A handwritten signature in blue ink, appearing to read "Vivek Kumar".

Vivek Kumar
M. No. : F8976
COP No: 10438

UDIN: F00897660004105
42