

Ref: KSICL/STK.EX./2019-20

15.10.2019

MANAGER
CORPORATE RELATIONSHIP
DEPT OF CORP SERVICES
BOMBAY STOCK EXCHANGE LTD
Floor 25, PJ Towers
Dalal Street,
Mumbai 400 001

Scrip ID: KCPSUGIND
Scrip Code: 533192

Dear Sir,

Sub: Certificate of Compliance as per Regulation 40(9) of SEBI (LODR) Regulations 2015

Please find enclosed **Certificate of Compliance** dated 15.10.2019 issued by P R Sudha, Practicing Company Secretary as per **Regulation 40(9) of SEBI (LODR) Regulations 2015** for the half-year ended from **01.04.2019 to 30.09.2019**.

Kindly acknowledge receipt.

Thanking you

Yours faithfully,
For **K.C.P. SUGAR AND INDUSTRIES CORPORATION LIMITED.**


R GANESAN
CHIEF FINANCIAL OFFICER

Encl: a/a

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

FOR THE HALF YEAR ENDED 30th SEPTMEBR 2019

The Securities and Exchange Board of India has mandated that the transfer of securities would be carried out in dematerialized form w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to KCP SUGAR AND INDUSTRIES CORPORATION LIMITED (the Company) maintained by Integrated Registry Management Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01st April, 2019 and re-lodged thereafter for transfer of fully paid equity shares of the Company for the period from 1st April 2019 to 30th September 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on my examination as well as information and explanation furnished to me which to the best of my knowledge and belief were necessary for the purpose of my certification I hereby certify that:

- a) the Company has delivered during half year ended on 30th September, 2019 share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re-lodged thereafter, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- b) the Company has transmitted/ sub-divided/ consolidated/ renewed/ exchanged during the half year ended on 30th September 2019 all share certificates received for transmission / sub-division/ consolidation/ renewal/ exchange, which were in order, within the prescribed time from the date of lodgment excepting those rejected on technical grounds

Date: 15.10.2019
Place: Chennai

PR Sudha
P R SUDHA
Practicing Company Secretary
CP. NO: 4468
UDIN: F006046A000078371

