

To, The Manager (CRD), BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400 001

Scrip Code: 508954

Subject: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015 for the half year ended 30th September, 2020.

Dear Sir,

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015, we submit herewith Certificate received from M/s. Manish Ghia & Associates, Practicing Company Secretaries for the half year ended 30th September, 2020.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking you.

Yours Faithfully,

For Finkurve Financial Services Limited

Sunny Pareks * ph

Company Secretary & Compliance Officer M. No. ACS 32611

Encl.: A/a



Finkurve Financial Services Limited CIN: L65990MH 1984PLC032403 Registered Office: 3rd Floor, Bullion House, 115, Tambakata Lane, Opposite Dagina Bazoar, Pydhonie, Mumbai 400 003 Corporate Office: 202, D-Wing, Trade World, Kamala Mill Compound, Lower Parel West, Mumbai 400 013 Tel: +91 22 42441200 | Email: mail@arvog.com | Web: www.arvog.com



Place: Mumbai

Date: October 13, 2020

UDIN: F006252B000930318

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER,2020

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to Finkurve Financial Services Limited having its registered office at 3rd Floor, Bullion House, 115, Tambakatta Lane, Zaveri Bazar, Mumbai - 400003, maintained by Link Intime India Pvt. Ltd, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April,2020 to 30th September, 2020 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by Finkurve Financial Services Limited and based on information provided by the Company, we hereby certify that during the half year ended on 30th September, 2020 the Company:

a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.



For Manish Ghia & Associates Company Secretaries MANISH LALITCHAN DRA GHIA

CS Manish L. Ghia Partner M. No. FCS: 6252, C. P. No. 3531

Note : Due to lockdown on account of COVID – 19 Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.

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