

IOLCP/CGC/2020
13th October 2020

National Stock Exchange of India Ltd.

Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051
Security Symbol: **IOLCP**

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai- 400 001
Security Code: **524164**

Subject: Certificate under Clause 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to Clause 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate received from B. K. Gupta & Associates, Company Secretaries for the half year ended 30th September 2020.

Hope you will find the same in order.

Thanking You,

Yours faithfully
IOL Chemicals and Pharmaceuticals Limited

Abhay Raj Singh
AVP and Company Secretary

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED ON 30th SEPTEMBER 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to IOL CHEMICALS AND PHARMACEUTICALS LIMITED having its Registered Office at Trident Complex, Raikot Road, Barnala-148101, maintained by M/s Alankit Assignments Limited, the Registrar and Share Transfer Agent of the Company (hereinafter referred to as the 'RTA') having its Office at Alankit Heights, IE/13, Jhandewalan Extension, New Delhi - 110 055 pertaining to transfer of equity shares of the Company for the period from 1st April 2020 to 30th September, 2020 for the purpose of issuing a Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the above examination and further information provided by the Company, I, hereby certify that the Company has delivered during half year ended on 30th September, 2020:-

- Share Certificates relating to the transfer of Shares received during the period from 1st April 2020 to 30th September, 2020 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgement for transfer excepting those rejected on technical grounds, if any.
- Share Certificates in respect of requests for exchange of duplicate certificates have been issued within stipulated time. Further there was no case of sub-division, consolidation, split, renewal, exchange or endorsement of calls /allotment monies.

Place: Ludhiana
Dated: 13-10-2020

For **B K Gupta & Associates**
Company Secretaries



(CS Bhupesh Gupta)

FCS No 4590

C P No. 5708

UDIN:- F004590B000928744