

HARDCASTLE & WAUD MANUFACTURING COMPANY LIMITED

Regd. Off.: Mall Office, 2nd Floor, Metro Junction Mall of West Pioneer Properties (I) Pvt. Ltd,
Netivali, Kalyan (E), Thane – 421306 Tel. No.: 022-22837658-63
E-mail Id: ho@hawcoindia.com Website: www.hawcoindia.in
CIN No.: L99999MH1945PLC004581

10th May, 2022

To,

BSE Ltd
Phiroz Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2022

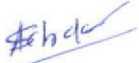
Dear Sirs,

Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, enclosed herewith is the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2022.

Please take the same on your record.

Thanking you,

Yours faithfully,
For **Hardcastle and Waud Manufacturing Company Limited**



Smita Achrekar
Company Secretary & Compliance Officer

Encl : a/a

SHAILESH KACHALIA

Company Secretaries

Om Sri Co-Op. Hsg. Society, A Wing, Flat No. 7, First floor, Nr. Shanti Ashram,
Off Link Road Borivali (West) Mumbai 400 103, Cell. 9892534153

Email: shaileshmay@gmail.com

To,
The Board of Directors
Hardcastle and Waud Manufacturing Company Limited
Kalyan

**ANNUAL SECRETARIAL COMPLIANCE REPORT OF HARDCASTLE AND WAUD
MANUFACTURING COMPANY LIMITED FOR THE YEAR ENDED 31ST MARCH, 2022**

I have examined:

- (a) all the documents and records made available to me and the explanations provided by **Hardcastle and Waud Manufacturing Company Limited** (“Listed Entity”),
- (b) the filings / submissions made by the Listed Entity with the BSE Limited, Mumbai (“the Stock Exchange”),
- (c) website of the Listed Entity, and
- (d) all other documents / filings etc., as relevant, which have been relied upon to make this certification.

for the year ended 31.03.2022 (“Review Period”) in respect of compliance with provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”).

The relevant Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



(b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; and

(c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

and based on the above examination, I hereby report that, during the Review Period:

- (a) The Listed Entity has complied with provisions of the above mentioned Regulations and circulars / guidelines issued thereunder;
- (b) The Listed Entity has maintained proper records under provisions of the above mentioned Regulations and circulars / guidelines issued thereunder insofar as it appears from my examination of those records; and
- (c) No action was taken against the Listed Entity, its promoters, directors either by SEBI or by the Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder.

Further there we no observations made in the previous report.

Place: Mumbai
Date: 09.05.2022



✓
Shailesh A. Kachalia
FCS No. 1391
C P No. 3888
PR. 628/2019
UDIN F001391D000287481