

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

---

Date: October 20, 2020

The Corporate Relations Department  
BSE Limited  
P.J. Towers, 1st Floor  
Dalal Street, Mumbai — 400 001

Dear Sirs,

**Sub: Compliance Certificate for the half year ended 30th September 2020**

**Ref: Company Code No. 511110**

We submit the Compliance certificate under Regulation 7 (3) of SEBI (LODR) Regulations 2015, for the half year ended on 30th September 2020.

Yours faithfully,  
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagavani  
Company Secretary

Encl: as above

**ISIN: INE848D01018**

**Scrip Code:511110**

**Compliance Certificate**

*(Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]*

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. M/s. Purva Shareregistry (I) Private Ltd. having its Office at 9, Shiv Shakti Industrial Estate, J.R. Boricha Marg, Lower Parel (East), Mumbai -400 011 and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR00000112.

**For V.B. Desai Financial Services Ltd.**



Kamlesh M Gagavani  
Compliance Officer  
M.No. FCS 4822

Place: Mumbai

Date: October 20, 2020

**For Purva Shareregistry (I) Private Limited**



Deepali Dhuri  
Compliance Officer

Place: Mumbai

Date: October 20, 2020