

MANSI FINANCE (CHENNAI) LIMITED

(CIN: L65191TN1994PLC028734)

Regd. Off.: No. 45A/10, 1st Floor, Barnaby Road, Kilpauk,

CHENNAI – 600 010.

Tel: 044 – 2529 3298 e-mail ID: mansi@mansiindia.com

30.05.2024

**The Manager,
Bombay Stock Exchange Limited,
25th Floor, P.J. Towers,
Dalal Street,
Mumbai – 400 001.**

Dear Sir,

**Sub: Submission of Annual Secretarial Compliance Report of the Company
for the year ended March 31, 2024.**

With reference to above, enclosed please find attached Annual Secretarial Compliance Report of the Company for the year ended March 31, 2024

Please acknowledge the receipt and do the needful.

Thanking you,

**Yours faithfully,
For MANSI FINANCE (CHENNAI) LIMITED**

**(JYOTI AGARWAL)
Company Secretary
PAN: BAHPK1489D
No. 45A/10, Barnaby Road,
Kilpauk,
Chennai – 600 010.**



Mundhara & Co.,

COMPANY SECRETARIES
JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.
Tel: 044 – 2536 8835
Email ID: mundhara_co@yahoo.co.in

30.05.2024

To,
The Board of Directors,
M/s. MANSI FINANCE (CHENNAI) LIMITED
No. 45A/10, Barnaby Road,
1st Floor, Kilpauk,
Chennai – 600 010.

Dear Sir,

**Sub: Annual Secretarial Compliance Report for the financial year 2023-24
(year ended on March 31, 2024)**

We have been engaged by M/s. MANSI FINANCE (CHENNAI) LIMITED (“the Company”) (CIN: L65191TN1994PLC028734) whose equity shares are listed on The Bombay Stock Exchange Limited to conduct an audit in terms of Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended from time to time and read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 to issue the Annual Secretarial Compliance Report thereon for the financial year 2023-24 (year ended on March 31, 2024).

It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with the provisions of all the applicable SEBI Regulations and circulars / guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively. Our responsibility is to verify compliances by the Company with provisions of all applicable SEBI Regulations and circulars / guidelines issued there under from time to time and issue a report thereon.

Our audit was conducted in accordance with the Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications as considered necessary and adequate for the said purpose. The required Annual Secretarial Compliance Report is enclosed with this letter.

Please acknowledge the receipt and do the needful.

Thanking you,
Yours faithfully,
For MUNDHARA & CO,
Company Secretaries
(ICSI Unique Code: S1988TN005000)
Peer Review Certificate No: 2155/2022

(JAGDISH P MUNDHARA)
Proprietor
FCS 2353 C.P. NO. 1443





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

Secretarial Compliance Report of M/s. MANSI FINANCE (CHENNAI) LIMITED for the year ended March 31, 2024

To,
The Board of Directors,
M/s. MANSI FINANCE (CHENNAI) LIMITED
No. 45A/10, Barnaby Road,
1st Floor, Kilpauk,
Chennai – 600 010.

Dear Sirs,

We have examined:

- all the documents and records made available to us and explanation provided by M/s. MANSI FINANCE (CHENNAI) LIMITED (*"the listed entity"*);
- the filings / submissions made by the listed entity to the stock exchanges;
- website of the listed entity; and
- any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **March 31, 2024** (*"Review Period"*) in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 (*"SEBI Act"*) and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 (*"SCRA"*), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (*"SEBI"*);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 and amendments from time to time;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (*was not applicable to the Company during the review period*);
- Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (*was not applicable to the Company during the review period*);





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

- f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 (*was not applicable to the Company during the review period*);
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; and circulars/guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- I. A) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action (Advisory / Clarification / Fine / Show Cause Notice / Warning, etc.)	Details of Violation	Fine Amount	Observations / Remarks of the Practicing Company Secretary	Management Response	Remarks
<i>Refer Annexure-1 attached to this report.</i>										

- B) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action (Advisory / Clarification / Fine / Show Cause Notice / Warning, etc.)	Details of Violation	Fine Amount	Observations / Remarks of the Practicing Company Secretary	Management Response	Remarks
<i>Refer Annexure-2 attached to this report.</i>										

- II. We hereby report that, during the review period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations / Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI), as notified by the	Yes	The Company has duly complied with the Secretarial Standards issued by ICSI.





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

	Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.		
2.	Adoption and timely updation of the Policies: <ul style="list-style-type: none">All applicable policies under SEBI Regulations are adopted with the approval of Board of Directors of the listed entityAll the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations / circulars / guidelines issued by SEBI	Yes Yes	The Company has adopted and updated the applicable policies.
3.	Maintenance and disclosures on Website: <ul style="list-style-type: none">The Listed entity is maintaining a functional websiteTimely dissemination of the documents / information under a separate section on the websiteWeb-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website	No No No	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.
4.	Disqualification of Directors: None of the Director(s) of the Company is / are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	None of the Directors of the Company are disqualified under Section 164 of Companies Act, 2013.
5.	To examine details related to Subsidiaries of listed entities: a) Identification of material subsidiary Companies b) Disclosure requirement of material as well as other subsidiaries	Not Applicable	As informed by the management, the Company does not have any Subsidiary Companies.
6.	Preservation of Documents: The Listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes for Preservation of Documents and No for Archival Policy	The Company has complied with the SEBI Regulations for preserving and maintaining the prescribed records. However, we are unable to verify the archived documents of the Company.
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every	Yes	The Company has duly conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year.





Mundhara & Co.,

COMPANY SECRETARIES

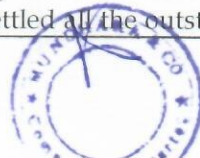
JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

	financial year/during the financial year as prescribed in SEBI Regulations.		
8.	Related Party Transactions: a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved / ratified / rejected by the Audit Committee, in case no prior approval has been obtained.	Yes NA	The Company has obtained prior approval of Audit Committee for all Related Party transactions.
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	As informed by the management, the Company has provided all the disclosures as required under regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	As informed by the management, the Company has installed and maintained the structured digital database as contemplated under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 with effect from January, 2024. Further, we have verified for the specific compliance of the said software for the period between January 1, 2024 to March 15, 2024 and found that the required UPSI was getting captured in the database. We have also issued a separate certification in this regard as sought by the BSE. As informed by the management, there were no other information which was required to be captured in the database during the period between March 16, 2024 to March 31, 2024.
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity / its promoters / directors / subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars / guidelines issued	No	During the financial year 2022-23, the Company has received an email from the Bombay Stock Exchange Limited (BSE) consolidating the various outstanding penalties levied on the Company since the quarter ended March 31, 2014 amounting to Rs.1513227/- (including GST amount of Rs.230831/-). As informed by the management, the Company has paid and settled all the outstanding dues to





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

	thereunder except as provided under separate paragraph herein.		the exchange.
12.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI Regulation / circular / guidance note etc.	No	One of the Directors of the Company, namely, Smt. SAJJAN KANWAR BAFNA has attained the age of 74 years. She has been continuing in the office of the Director without a special resolution being passed in this regard as contemplated under regulation 17(1A) of SEBI LODR Regulations, 2015. The other details of non-compliances are provided in Annexure-1 to this report.

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity;
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion;
3. We have not verified the correctness and appropriateness of financial records and books of accounts of the listed entity;
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For MUNDHARA & CO,
Company Secretaries

(ICSI Unique Code: S1988TN005000)

Peer Review Certificate No: 2155/2022

J. Mundhara

(JAGDISH P MUNDHARA)

Proprietor

FCS 2353 C.P. NO. 1443



UDIN: F002353F000502413

Place: Chennai

Date : 30.05.2024



Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

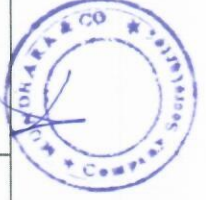
Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

Annexure - 1

(The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below)

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action (Advisory / Fine / Show Cause Notice / Warning, etc.)	Details of Violation	Fine Amount	Observations / Remarks of the Practising Company Secretary	Management Response	Remarks
01.	The listed entity has to maintain a functional website containing the information about the listed entity.	Regulation 46 and 62 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.	No action is taken by the Exchange	No action is taken by the Exchange	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.	Nil	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.	The Company has provided all the data to the external technical supporter for the web applications and is expecting the same to be updated shortly.	Nil
02.	The Company is required to maintain a structured digital database containing the names of such persons or entities as with whom	Regulation 3 (5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 –	The Company has installed and maintained the structured digital database as contemplated under Regulation 3(5) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 with effect from January, 2024. Further,	BSE	The Company's Compliance Officer's name has been put under the Tab - SDD Non Compliant	The Company has installed and maintained the structured digital database as contemplated under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading)	Nil	As informed by the management, the Company has installed and maintained the structured digital database as contemplated under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 with effect from January, 2024. Further,	The Company has installed and maintained the structured digital database as contemplated under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading)	Nil





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Eruilappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

	information is shared under this regulation along with PAN or any other identifier authorized by law where PAN is not available	Implementing the Digital Database.	& 3(6) of the SEBI Insider Trading Regulations, 2015 with effect from January, 2024.	No action is taken by the Exchange	Company in the 'Get Quote' Page of the exchange The Company has then updated its Software and was directed to submit a Compliance Certificate from a practicing company secretary to the Exchange.	Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	The Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	Nil	we have verified for the specific compliance of the said software for the period between January 1, 2024 to March 15, 2024 and found that the required UPSI was getting captured in the database. We have also issued a separate certification in this regard as sought by the BSE. As informed by the management, there were no other information which was required to be captured in the database during the period between March 16, 2024 to March 31, 2024.	As informed by the management, the Company has received the said certificates with a delay from the participant and were thereafter submitted immediately upon receipt.	Regulations, 2015 with effect from January, 2024. Further, as directed by BSE we have started updating the said software and are now complying with the requirements under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015
03.	The issuer Company is required to submit the Quarterly Confirmation Certificate received from the Registrar and Share Transfer Agent in respect of the compliance of Regulation 74(5) to the Stock Exchange	Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018	The Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	No action is taken by the Exchange	No action is taken by the Exchange	The Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	The Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	Nil	The Company has delayed in submitting the said certificate for the quarter ended June 30, 2023 and September 30, 2023	As informed by the management, the Company has received the said certificates with a delay from the participant and were thereafter submitted immediately upon receipt.	Regulations, 2015 with effect from January, 2024. Further, as directed by BSE we have started updating the said software and are now complying with the requirements under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

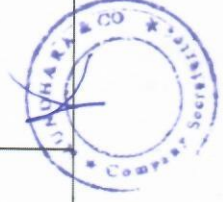
Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

Annexure – 2

(The listed entity has taken the following actions to comply with the observations made in previous reports)

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action (Advisory / Clarification / Fine / Show Cause Notice / Warning, etc.)	Details of Violation	Fine Amount	Observations / Remarks of the Practising Company Secretary	Management Response	Remarks
01.	The Company was required to submit disclosures on related party transactions on a consolidated basis within 15 days from the date of publication of its standalone financial results for the half year ended March 31, 2022.	Regulation 23(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations, 2015	The Company has delayed in submitting the same within the stipulated time and was submitted on June 28, 2022 thereby a delay of 14 days	The Company has submitted the same on June 28, 2022	No action was taken by the Exchange	There was a delay of 14 days in complying with the said regulation	Nil	The Company was required to submit disclosures on related party transactions on a consolidated basis within 15 days from the date of publication of its standalone financial results for the half year ended March 31, 2022 and the same was submitted with a delay of 14 days.	They were under an impression that the said return should have been filed within 30 days and were unaware of the amendment which took place from April 01, 2022 reducing the period of submission to 15 days.	Nil





Mundhara & Co.,

COMPANY SECRETARIES

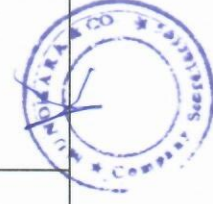
JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

02.	The Company was required to submit disclosures on related party transactions on a consolidated basis within 15 days from the date of publication of its standalone financial results for the half year ended September 30, 2022.	Regulation 23(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has delayed in submitting the same within the stipulated time and was submitted on December 29, 2022 thereby a delay of 30 days	The Bombay Stock Exchange Limited (BSE)	BSE has imposed a penalty of Rs.182535 (Including GST @18%)	There was a delay of 30 days in complying with the said regulation	Rs.182535/-	The Company was required to submit disclosures on related party transactions on a consolidated basis within 15 days from the date of publication of its standalone financial results for the half year ended September 30, 2022 and the same was submitted with a delay of 30 days and hence a penalty of Rs.182535/- was imposed upon the Company.	Due to inadvertence, the said return was filed with a delay and hence a penalty of Rs.182535/- was paid for the same.	Nil
03.	The listed entity has to maintain a functional website containing the information about the listed entity.	Regulation 46 and 62 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.	No action is taken by the Exchange	No action is taken by the Exchange	The Company has not updated its website with matters which are statutorily required to be placed on the website of the Company.	Nil	The Company has not updated its website with regard to various matters which are statutorily required to be placed on the website of the Company.	The Company has provided all the data to the external technical supporter for the web applications and is expecting the same to be updated shortly.	Nil





Mundhara & Co.,

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

Email ID: mundhara_co@yahoo.co.in

Tel: 044 – 2536 8835

04.	The listed entity has to publish quarterly financial results in the newspapers within 48 hours of conclusion of the meeting of board of directors at which the financial results were approved.	Regulation 47 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations, 2015	The Company has delayed in publishing the financial results for the quarter ended June 30, 2022 and adopted in the Board Meeting held on August 18, 2022 in the newspapers within the stipulated time and was published on August 18, 2022 thereby a delay of 3 days	No action is taken by the Exchange	No action is taken by the Exchange	There was a delay of 3 days in complying with the said regulation	Nil	The Company has delayed in publishing the financial results for the quarter ended June 30, 2022 and adopted in the Board Meeting held on August 13, 2022 in the newspapers within the stipulated time and was published on August 18, 2022 thereby a delay of 3 days	Due to inadvertence, there was a delay in the said publication.	Nil
05.	The designated persons of the listed entity are restricted to trade during the closure of trading window	Regulation 4 and 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company's code of conduct for regulating, monitoring and reporting of trading by insiders	One of the designated person, namely, Shri. Suresh Bafna, Chairman and Managing Director and Promoter of the Company has acquired shares during the period of closure of trading window	No action is taken by the Exchange	No action is taken by the Exchange	Shri. Suresh Bafna, Managing Director and Promoter of the Company has acquired 1000 Equity Shares of Rs.10/- each on 04.04.2022, viz., during the period when the trading window was closed for adoption of audited financial results.	Nil	One of the designated person, namely, Shri. Suresh Bafna, Chairman and Managing Director and Promoter of the Company has acquired 1000 Equity Shares of Rs.10/- each on 04.04.2022, viz., during the period when the trading window was closed for adoption of audited financial results.	Due to inadvertence, the said trade was executed.	Nil





Mundhara & Co.,

COMPANY SECRETARIES

JAGDISH P MUNDHARA, B.Com (Hons.), FCS

C1, Roop Chamber, 3rd Floor,
No. 43, Erulappan Street, Sowcarpet,
Chennai – 600 001.

Tel: 044 – 2536 8835

Email ID: mundhara_co@yahoo.co.in

06.	The Company is required to maintain a structured digital database containing the names of such persons or entities as with whom information is shared under this regulation along with PAN or any other identifier authorized by law where PAN is not available	Regulation 3 (5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 - Implementing the Digital Database.	The Company has not maintained any such digital database.	No action is taken by the Exchange	No action is taken by the Exchange	The Company has not maintained any such digital database.	Nil	The Company has not maintained any structured digital database as contemplated under Regulation 3 (5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.	The Company has installed the required SDD Software since January, 2024. As informed by the management, the Company is now complying with the requirements under Regulation 3(5) & 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015	Nil
07.	The issuer Company is required to submit the Quarterly Confirmation Certificate received from the Registrar and Share Transfer Agent in respect of the compliance of Regulation 74(5) to the Stock Exchange	Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018	The Company has not submitted the said certificate for the quarter ended September 30, 2022	No action is taken by the Exchange	No action is taken by the Exchange	The Company has not submitted the said certificate for the quarter ended September 30, 2022	Nil	The Company has not submitted the said certificate for the quarter ended September 30, 2022	As informed by the management, the Company has not received any such certificate from the Registrar for the said quarter and hence the same could not be filed.	Nil

