

LATL:CS:REG7:2020-21

Date: 06.04.2021

BSE Limited
Listing Compliance Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Compliance Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Scrip Code : 532796

Symbol : LUMAXTECH

Subject: Certificate under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate duly signed by both the Company Secretary of the Company and the Authorised Signatory of our Registrar & Share Transfer Agent i.e. Bigshare Services Private Limited for the period from October 1,2020 to March 31, 2021.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,

For Lumax Auto Technologies Limited



Anil Tyagi
Company Secretary
M.No. A-16825



Encl.: as stated above

Dated: 05.04.2021

COMPLIANCE CERTIFICATE

(Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015)

THIS IS TO CERTIFY that, we, **Bigshare Services Private Limited**, having our Registered Office at Bharat Tin Works Building, 1st Floor Opp. Vasant Oasis, Makwana Road Marol, Andheri – East, Mumbai – 400059 are providing Registry and Share Transfer services to **LUMAX AUTO TECHNOLOGIES LIMITED** ("the Company") for half of the financial year ended March 31, 2021 and having the valid agreement:

FURTHER CERTIFY, that we:

- a. Hold and continues to hold requisite certificate as Category - I Registrar & Share Transfer Agent vide SEBI Registration No. INR000001385 issued by Securities Exchange Board of India (SEBI).
- b. Are handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Are maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

Further, we wish to state that the Company is adopting "April to March" as its Financial year and accordingly, we are submitting the compliance certificate for half of the financial year ended March 31, 2021 i.e. for the period from October 1, 2020 to March 31, 2021 pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015.

For Bigshare Services Private Limited



S. Arunraj
Authorised Signatory

A handwritten signature in blue ink, appearing to be "S. Arunraj".

For Lumax Auto Technologies Limited



Anil Tyagi
Company Secretary
M.NO. A-16825

A handwritten signature in black ink, appearing to be "Anil Tyagi".