



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001

Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

Date: 18th April, 2024

To,

Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza Plot No. C/1, G Block
Bandra Kurla Complex Bandra (E)
Mumbai - 400051

Department of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001

NSE Symbol: DBSTOCKBRO

BSE Scrip Code: 530393

SUB: REGULATION 40(9) AND 40(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE YEAR ENDED ON 31ST MARCH, 2024

Dear Sir/ Ma'am,

Pursuant to Regulation 40(9) & 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby submit duly signed Compliance Certificate dated 17th April, 2024 from the Practicing Company Secretary, certifying compliance with the aforesaid provisions for the year ended on 31st March, 2024 (Period from 01.04.2023 to 31.03.2024).

We request you to take the above information on your records.

Thanking You

For and on behalf of
DB (International) Stock Brokers Limited

Prachi Sharma
Company Secretary
Membership No.: A71389

Encl: As above

Regd. Off.: Unit No. 210/211/211A at 2nd floor, Dalal Street Commercial Cooperative Society Ltd,
Block No.53, Zone 5, Gift City, Gandhinagar, Gujarat-382355



CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2024 :We have examined the relevant books, registers, forms, documents and records of **DB (INTERNATIONAL) STOCK BROKERS LIMITED** (“the Company”), maintained and produced before us by ABHIPRA CAPITAL LIMITED, their Registrar & Transfer Agent, in respect of share transfers lodged for registration during the period 1st April, 2023 to 31st March, 2024 for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”).

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purpose of certification, we hereby certify that in our opinion and according to the best of our information and belief, in relation to the period from 1st April, 2023 to 31st March 2024 –

- i. That no transfer request was received during the period from 1st April, 2023 to 31st March, 2024 and no physical transfer request is pending as on the last date of transfer meeting held on 31st March, 2024
- ii. That no request was received for split, Duplicate, consolidation and subdivision during the period from 1st April, 2023 to 31st March, 2024
- iii. That request received for demat is – NSDL –NIL, CDSL-NIL, No of request rejected – NIL during the period from 1st April, 2023 to 31st March 2024
- iv. That no request for Demat was received during the period from 1st April, 2023 to 31st March, 2024.

Date: 17.04.2024
Place: Delhi

For Surya Gupta & Associates
Practicing Company Secretaries

Suryakant Gupta
Prop.
C.P. No.: 10828
M. No.: F9250
Peer Review: 907/2020
UDIN No.: F009250F000156925