

CAPITAL AND FINANCIAL SERVICES LTD.



(A NON-BANKING FINANCIAL COMPANY)

Regd. Office & Corporate Office: "Nahar Tower", 375, Industrial Area-A, Ludhiana-141 003 (INDIA)

Phones: 91-161-2600701 to 705, 2606977 to 980 Fax: 91-161-2222942, 2601956

Email: secncfs@owmnahar.com Web Site: www.owmnahar.com CIN No.: L45202PB2006PLC029968

NCFSL/SD/2019/

October 16, 2019

- The Manager,
 The National Stock Exchange of India Limited
 Exchange Plaza, 5th Floor, Plot No. C/1, G-Block
 Bandra-Kurla Complex, Bandra (E)
 MUMBAI 400 051
- The General Manager, The BSE Limited
 25th Floor, P.J. Tower, Dalal Street, Mumbai
 MUMBAI – 400 001

Dear Sir,

Pursuant to the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith certificate issued by M/s. P.S. Bathla & Associates, Practicing Company Secretaries, certifying that all the transfer have been completed within the stipulated time by the Company's Registrar and Transfer Agent i.e. M/s Alankit Assignments Limited, New Delhi for the half year ended 30th September, 2019.

Please acknowledge the receipt.

Thanking you,

Yours faithfully,

For NAHAR CAPITAL AND FINANCIAL SERVICES LTD.

(ANJALI MODGIL)
COMPANY SECRETARY

Encl: As above

Mumbai: 414, Raheja Chambers, 213, Nariman Point, Mumbai - 400 021

Phones: 91-22-22835262, 22835362 Fax: 91-22-22872863

Email: mumbai@owmnahar.com

Gurgaon: Flat No. 22-B, Sector-18, Gurgaon-120 015 (India)

Ph.: 91-124-2430532 - 2430533 Fax: 91 -124-2430536

Email: delhi@owmnahar.com

P.S. BATHLA & ASSOCIATES Company Secretaries S.C.O. -6, 3rd Floor Feroze Gandhi Market, Ludhiana-141001 Ph. 0161-2772759

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED SEPTEMBER, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Nahar Capital and Financial Services Limited, Ludhiana maintained by M/s. Alankit Assignments Limited, New Delhi, Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period 01.04.2019 to 30.09.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its Registrar and Share Transfer Agent, I hereby certify that the Company has delivered during the half year ended on September, 2019:

 Share Certificate(s) relating to the transfer of Shares received during the period from 1st April, 2019 to 30th September, 2019 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Dated: 16th October 2019

Place: Ludhiana

UDIN No. F004391A000097418

For P. S. Bathla & Associates Company Secretaries

P.S. Bathla

CP No-2585

Proprietor