

**Regd. Office: 1C/13, Basement, Rajnigandha Building, New Rohtak Road, New Delhi - 110005**

**Tel No: [011-28762142](tel:011-28762142); E-mail id: [capfinindia ltd@yahoo.co.in](mailto:capfinindia ltd@yahoo.co.in); Website: [www.capfinindia.com](http://www.capfinindia.com)**

Date: 17<sup>th</sup> April, 2023

To,  
Department of Corporate Services  
BSE Limited,  
Phiroze Jeejeebhoy Towers  
28th Floor, Dalal Street,  
Mumbai-400001

Scrip Code: 539198  
Symbol: CAPFIN

**Subject: Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31.03.2023.**

Dear Sir/Ma'am,

Pursuant to clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we are enclosing certificate dated 14.04.2023 from the Practicing Company Secretary, certifying compliance with the aforesaid provisions for the year ended on 31.03.2023 (Period from 01.04.2022 to 31.03.2023)

This is for your information and records.

Thanking you,

Yours faithfully,

**For and on behalf of  
Capfin India Limited**

RACHITA  
MANTRY KABRA

Digitally signed by RACHITA MANTRY KABRA  
DN: c=IN, o=Personal, postalCode=110005, st=Delhi,  
2.5.4.20=76610272017784400093556c33c474290  
c82a11c1c252f4f63a667b4c96,  
serialNumber=5143364038E7070A41992194912A8A58  
39175867,  
serialNumber=136F38116053C82A8AC3041814EAE6  
C4F4F7896E5C8516707A8488380A4  
cn=RACHITA MANTRY KABRA  
Date: 2023.04.17 17:25:04 +05'30'

**Rachita Mantry  
Whole Time Director & CFO  
DIN: 03414391**

**Encl: As above**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Capfin India Limited** maintained by **Indus Portfolio Private Limited.**, pertaining to transfer of equity shares of the Company for the period from **01.04.2022 to 31.03.2023** for the purpose of issuing a Certificate as per **Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015** entered into by **Capfin India Limited** with the **BSE Limited** and based on the information provided by the Company, I hereby certify that-

- A) The Company has not received any request relating to the registration of transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of Shares/Debentures received during the period from **01.04.2022 to 31.03.2023**
- B) No share certificate has been issued in respect of duplicate and split certificates as there is no such request received by the Company.

**For & on behalf of  
Vikas Verma & Associates  
Company secretaries  
FRN: P2012DE081400**

**Vivek  
Rawal** Digitally signed  
by Vivek Rawal  
Date: 2023.04.14  
17:55:54 +05'30'

**Vivek Rawal  
Partner  
M.No. A43231  
C.P. No.: 22687**

**Date: - 14.04.2023  
Place: - New Delhi  
UDIN: A043231E000101586**