

GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – gangessecurities@birlasugar.org; Website-www.birla-sugar.com

May 27, 2020

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No.- C/1, G Block
Bandra- Kurla Complex,
Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort,
Mumbai-400 001

The Secretary
The Calcutta Stock Exchange
Ltd.
7, Lyons Range
Kolkata 700 001

Symbol : GANGESSECU

Stock Code : 540647

Stock Code : 17434

Dear Sirs,

Sub: Compliance Certificate for the quarter and half year ended March 30, 2020

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated May 26, 2020 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of March 30, 2020.

Thanking you

Yours faithfully,
For **Ganges Securities Limited**

Sd/-

Vijaya Agarwala
Company Secretary
ACS-38658

Encl.: as above

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Offi. : 2236 1385,2236 7135
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S. M. GUPTA & CO.

COMPANY SECRETARIES

P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE **FOR THE HALF YEAR ENDED 31.03.2020**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. GANGES SECURITIES LIMITED (CIN: L74120UP2015PLC069869)** maintained by them and their Share Transfer Agents (STA) M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.10.2019 to 31.03.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.10.2019 to 31.03.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of Lockdown due to COVID-19 with limited available resources.

Place : Kolkata

Date : 26.05.2020

Signature : Sd/-

Name : S.M.GUPTA

Membership No : FCS-896

C. P. Number : 2053

UDIN : **F000896B000281990**