

एम एस टी सी
लिमिटेड
(भारत सरकार का उपक्रम)



MSTC
LIMITED
(A Govt. Of India Enterprise)

CIN : L27320WB1964GOI026211

225C, A.J.C BOSE ROAD, KOLKATA - 700 020, INDIA
PHONE : 91-33-2290-0964, 2287-9627 / 7557 / 0568
FAX : 2287-8547/2290-7211/2281-3089, 2287-4915(ERO)
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MSTC/CS/SE/158

July 21, 2020

The Corporate Relationship
Department
BSE Limited, 1st Floor,
Phiroze Jeejeebhoy Towers, Dalal
Street,
Mumbai - 400001
Scrip Code : 542597

The Listing Department National
Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No.-
C/1, 'G' Block, Bandra- Kurla
Complex, Bandra (E), Mumbai -
400051
Scrip Code: MSTCLTD

Dear Sirs,

**Sub: Report for violations related to Code of Conduct under
SEBI (Prohibition of Insider Trading) Regulations, 2015**

This has reference to SEBI circular no. SEBI/HO/ISD/CIR/P/2019/82 dated 19th July, 2019 and Securities and Exchange Board of India (Prohibition of Insider Trading) (Amendment) Regulations, 2020 in relation to Standardizing Reporting of violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Please find enclosed report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 by an employee of the Company and action is being taken by the Company for such violation.

This is for your information and records, please.

Thanking you.

Yours faithfully,

For MSTC Limited

Ajay Kumar Rai
Company Secretary & Compliance Officer



Encl.: As above

Annexure-A

Report by MSTC Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulation, 2015

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediary/ Fiduciary: Schedule C read with Regulations 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sl NO.	Particulars	Details
1.	Name of the listed Company	MSTC Limited
2.	Please tick appropriate checkbox Reporting in the capacity of: Listed Company Intermediary Fiduciary	Listed Company
3.	Name of the Designated Person (DP) Name of the immediate relative of DP, if reporting is for immediate relative	Shri Surya Kant
4.	PAN of DP PAN of the immediate relative of DP, if reporting is for immediate relative	ABZPK6980D
5.	Designation of DP	ADDITIONAL GENERAL MANAGER
6.	Functional Role of DP	Operation, Regional Manager at Mumbai
7.	Whether DP is Promoter / Promoter Group/ holding CXO level position (e.g CEO, CFO, CTO etc.)	No
8.	Transaction details	MSTC Ltd.
	a) Name of the scrip	NSE- MSTCLTD BSE: 542597
	b) No of shares traded (which includes pledge) and value (Rs) (Date-wise)	02.07.20-100 shares @ Rs.139.45= Rs.13945.00 06.07.20-100 shares @145.03 = Rs. 14503.00 09.07.2020-250 shares @157.87= Rs. 39,467.50 10.07.2020-100 shares @169.95= Rs. 16995.00 Total : 550 shares, Rs. 84910.50
9.	In case value of trade(s) is more than Rs 10 lacs in a calendar quarter -	Not applicable
	Date of intimation of trade(s) by concerned DP/ director / promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable



	b) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
10	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Sale of securities of the Company by Mr Surya Kant, identified as Designated Person by the Company when the trading window was closed pursuant to Schedule B sub regulation(1) of Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015. Further non disclosure of sale to the company as per clause 7 (2) SEBI (Prohibition of Insider Trading) Regulations.
11.	Action taken by Listed Company/ Intermediary/ Fiduciary	Mr. Surya Kant is being directed to deposit the profit derived from transaction to the IEPF and submit the proof of deposit to the Company. Matter will further be reported to the Audit Committee in the ensuing Audit Committee Meeting and necessary action will be taken as per CDA Rules of the Company.
12.	Reasons recorded in writing for taking action stated above	At the time of reporting Mr Surya Kant is on leave due to demise of his mother. He was contacted over phone and he expressed that he did not have any malafide intention. He further informed that the transaction was done inadvertently and these would not be repeated in the future. He also informed that he had no intention to violate the Regulations.
13.	Details of the previous instances of violations, if any, since last financial year	None
14.	Any other relevant information	None



Thanking you,

Yours faithfully,

Ajay Kumar Rai

Name and signature of Compliance Officer

PAN: AFUPR9511C

EMAI ID: akrai@mstcindia.co.in

Mobile no.:9830510821

Date: 21st July, 2020

Place: Kolkata