

Ref. No. AUSFB/SEC/2020-21/295
Date: 25th November 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. Symbol: AUBANK	The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611	Aavas Financiers Limited 201-202, 2nd Floor, South End Square Mansarovar Industrial Area, Jaipur - 302020 Rajasthan
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Dear Sir(s),

Sub: Disclosure under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Please find enclosed disclosures in terms of Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

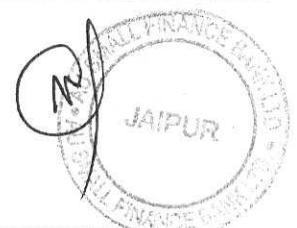


Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

Format for disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Name of the Target Company (TC)	AAVAS FINANCIERS LIMITED		
Name(s) of the acquirer/seller and Persons Acting in Concert (PAC) with the acquirer	AU SMALL FINANCE BANK LIMITED		
Whether the acquirer belongs to Promoter /Promoter group	No		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Limited and National Stock Exchange of India Limited		
Details of the acquisition / disposal as follows	Number	% w.r.t. total share/ votin capital wherever applicable (*)	% w.r.t. total Diluted sh voting capital of the TC (*
Before the acquisition/sale under consideration, holding of :			
a) Shares carrying voting rights	35,03,383	4.47%	4.47%
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/others)	-	-	-
c) Voting rights (VR) otherwise than by shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)	-	-	-
e) Total (a+b+c+d)	35,03,383	4.47%	4.47%
Details of acquisition/sale			
a) Shares carrying voting rights sold	35,00,000	4.46%	4.46%
b) VRs acquired /sold otherwise than by shares	-	-	-
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	-	-	-
d) Shares encumbered / invoked/released by the acquirer	-	-	-
e) Total (a+b+c+/-d)	35,00,000	4.46%	4.46%

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After the acquisition/sale, holding of:			
a) Shares carrying voting rights	3,383	0.01%	0.01%
b) Shares encumbered with the acquirer	-	-	-
c) VRs otherwise than by shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	-	-	-
e) Total (a+b+c+d)	3,383	0.01%	0.01%
Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Open Market Sale		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	23 rd November 2020		
Equity share capital / total voting capital of the TC before the said acquisition / sale	Rs. 78,38,40,650 divided into 7,83,84,065 Equity Shares of Rs. 10 each		
Equity share capital/ total voting capital of the TC after the said acquisition / sale	Rs. 78,38,40,650 divided into 7,83,84,065 Equity Shares of Rs. 10 each		
Total diluted share/voting capital of the TC after the said acquisition	Rs. 78,38,40,650 divided into 7,83,84,065 Equity Shares of Rs. 10 each		

Note: AU Small Finance Bank Limited has carried out sale of 14,62,374 Equity Shares constituting 1.87% (which was less than 2%) of paid up Equity Share capital of Aavas Financiers Limited during the FY 2020-21 till 22nd October 2020. (Being given in **Annexure** below as additional information)

(*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary & Compliance Officer

Place: Jaipur

Date: 25th November 2020



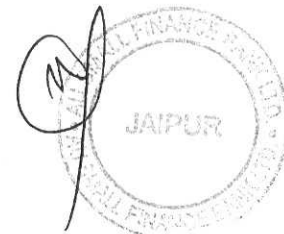
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Annexure

Details of Equity Shares sold by the AU Small Finance Bank Limited held in Aavas Financiers Limited from 01st April 2020 to October 2020*

Sl. No	Date of Sale of Equity Shares	No. of Equity Shares held before the sale transaction	No. of Equity Shares sold	% w.r.t. total share/ voting capital wherever applicable	No. of Equity Shares held post sale transaction
1	05-05-2020	49,65,757	8,269	0.01%	49,57,488
2	19-06-2020	49,57,488	29,809	0.04%	49,27,679
3	22-06-2020	49,27,679	85,009	0.11%	48,42,670
4	23-06-2020	48,42,670	553	0.00%	48,42,117
5	25-06-2020	48,42,117	2,515	0.00%	48,39,602
6	25-06-2020	48,39,602	40,513	0.05%	47,99,089
7	25-06-2020	47,99,089	60,500	0.08%	47,38,589
8	29-06-2020	47,38,589	87,000	0.11%	46,51,589
9	06-07-2020	46,51,589	33,411	0.04%	46,18,178
10	07-07-2020	46,18,178	4,842	0.01%	46,13,336
11	08-07-2020	46,13,336	14,000	0.02%	45,99,336
12	21-08-2020	45,99,336	2,20,762	0.28%	43,78,574
13	24-08-2020	43,78,574	4,952	0.01%	43,73,622
14	25-08-2020	43,73,622	3,586	0.00%	43,70,036
15	26-08-2020	43,70,036	14,700	0.02%	43,55,336
16	27-08-2020	43,55,336	1,376	0.00%	43,53,960
17	28-08-2020	43,53,960	10,000	0.01%	43,43,960
18	15-09-2020	43,43,960	3,66,284	0.47%	39,77,676
19	15-09-2020	39,77,676	83,120	0.11%	38,94,556
20	16-09-2020	38,94,556	1,42,492	0.18%	37,52,064
21	21-09-2020	37,52,064	1,69,842	0.22%	35,82,222
22	01-10-2020	35,82,222	78,839	0.10%	35,03,383
Total			14,62,374	1.87%	

Note: *The details of the sale of 35,00,000 equity shares carried out after October 2020 on 23rd November 2020 is covered in the above disclosure for compliance.



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