

October 10, 2020

**Shalby/SE/2020-21/71**

The Listing Department  
**National Stock Exchange of India Ltd**  
Mumbai 400 051.

**Scrip Code : SHALBY**

Through : <https://www.connect2nse.com/LISTING/>

Corporate Service Department  
**BSE Limited**  
Mumbai 400 001.

**Scrip Code: 540797**

Through : <http://listing.bseindia.com>

**Sub:** Compliance certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020

**Dear Sir / Madam,**

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirement), Regulations 2015, we are submitting herewith compliance certificate for half year ended September 30, 2020 in compliance of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirement), Regulations 2015 duly signed by KFin Technologies Private Limited, our Registrar and Share Transfer Agent and Company Secretary & Compliance Officer of the Company.

You are requested to take the same on your record.

Thanking you,

Yours sincerely  
For **Shalby Limited**

**Jayesh Patel**  
**Company Secretary & Compliance Officer**  
Mem. No: ACS14898  
**Encl.:** as above

**SHALBY LIMITED**

**Regd. Office:** Opp. Karnavati Club, S. G. Road, Ahmedabad - 380 015, Gujarat, India.

Tel: 079 40203000 | Fax: 079 40203109 | [info.sg@shalby.org](mailto:info.sg@shalby.org) | [www.shalby.org](http://www.shalby.org)

CIN: L85110GJ2004PLC044667

## COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to SHALBY LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year


THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFin Technologies Private Limited.

Sd/-

M S Madhusudhan  
General Manager

Place : Hyderabad  
Date : 09/10/2020

FOR, SHALBY LIMITED  
  
COMPANY SECRETARY  
10/10/2020

KFin Technologies Private Limited  
(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana, India, 500032. Ph: +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN: U72400TG2017PTC117649