



# BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

REF: BBFCB/BSE/2019-20

24<sup>TH</sup> OCTOBER, 2019

To,

BOMBAY STOCK EXCHANGE LTD.  
CORPORATE RELATIONSHIP DEPARTMENT  
PHIROZE JEEJEEBHAY TOWERS,  
DALAL STREET  
MUMBAI-400001

SCRIP CODE: 511501

SUB: COMPLIANCE CERTIFICATE AS PER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019

DEAR SIR / MA'AM,

Please find herewith Certificate issued under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 issued by Practicing Company Secretary viz. Mrs. Kavita Pamnani & Associates for the Half year ended 30<sup>th</sup> September 2019.

We hope that you will find the above in order.

THANKING YOU,

YOURS FAITHFULLY,

FOR BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

*Piyush*  
(PIYUSH KUMAR)  
COMPANY SECRETARY



To,  
The Board of Directors  
**Bharat Bhushan Finance & Commodity Brokers Limited**  
503, Rohit House, 3, Tolstoy Marg, Connaught Place  
New Delhi – 110001

**CERTIFICATE UNDER REGULATIONS 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

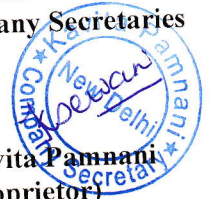
**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s Bharat Bhushan Finance & Commodity Brokers Limited (Formerly known as Bharat Bhushan Share & Commodity Brokers Ltd.) maintained by Alankit Assignments Limited (RTA) pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2019:

- A) Share Certificate(s) relating to the transfer of Shares/ received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, *if applicable*: N.A.

For Kavita Pamnani & Associates  
Company Secretaries

Kavita Pamnani  
(Proprietor)  
CP No. 11099



ICSI-UDIN = F006288A000160585

Place: New Delhi  
Date: 24.10.2019