

Vikas Building, Ground Floor, Green Street, Fort, Mumbai - 400 023. Tel. : (B) 91-22-4076 7373 • Fax: 91-22-4076 7377/78 Website: www.kslindia.com • CIN No. : L67120MH1993PLC070709

April 17, 2023

To, **BSE Limited** Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001

National Stock Exchange of India Limited Exchange Plaza, 5<sup>th</sup> Floor, Plot No:- C/1, G Block Bandra Kurla Complex, Mumbai- 400 051

BSE Scrip Code:- 531892

**NSE Symbol:- KHANDSE** 

## Sub: <u>Submission of Compliance Certificate pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31.03.2023</u>

Dear Sir,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate, duly signed on behalf of the Company and our Registrar & Share Transfer Agent, M/s. KFin Technologies Private Limited, for the year ended March 31, 2023 in compliance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,

Yours faithfully, For Khandwala Securities Limited

Abhishek Joshi Company Secretary & Compliance Officer

Encl: a/a

Wealth Visionaries



https://www.kfintech.com +91 40 6716 2222, 7961 1000

## COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to KHANDWALA SECURITIES LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a. minimum period of one year

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFin Technologies Limited

Mohd Mohsin Uddin Senior Manager

Place : Hyderabad Date : 10/04/2023 For Khandwala Securities Ltd Company Secretary &

Compliance Officer

KFin Technologies Limited (Formerly known as KFin Technologies Private Limited) Registered & Corporate Office: Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649