

**Ref No: AAVAS/SEC/2020-21/294**

**Date: September 05, 2020**

<b>To,</b> <b>The National Stock Exchange of India Limited</b> <b>The Listing Department</b> <b>Exchange Plaza,</b> <b>Bandra Kurla Complex,</b> <b>Mumbai - 400051</b>  <b>Scrip Symbol: AAVAS</b>	<b>To,</b> <b>BSE Limited</b> <b>Dept. of Corporate Services</b> <b>Phiroze Jeejeebhoy Towers,</b> <b>Dalal Street, Fort,</b> <b>Mumbai - 400001</b>  <b>Scrip Code: 541988</b>
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Dear Sir/Madam,

**Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 Circular dated July 23, 2020**

With reference to the above, please find enclosed herewith report on violation under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated person.

You are requested to take the same on records.

Thanks & Regards,

**For Aavas Financiers Limited**

  
  
**Sharad Pathak**  
**Company Secretary & Compliance Officer**  
**(FCS-9587)**

**Encl: a/a**

**Report by Aavas Financiers Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr No	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Aavas Financiers Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<b>A. Details of Designated Person (DP)</b> i Name of the DP ii PAN of the DP iii Designation of DP iv Functional Role of DP v Whether DP is Promoter or belongs to Promoter Group <b>B. If Reporting is for immediate relative of DP</b> i. Name of the immediate relative of DP ii. PAN of the immediate relative of DP <b>C. Details of transaction(s)</b> i. Name of the scrip  ii. No of shares traded and value (Rs.) (Date- wise) <b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	Nitin Kumar Saharia BRFPS5473L State Head Sales  No NA  AAVAS 200 shares sold at a value of Rs. 3,05,260 on 24/08/2020 100 shares sold at a value of Rs. 1,52,000 on 25/08/2020 20 shares sold at a value of Rs. 30,760 on 26/08/2020 500 shares sold at a value of Rs. 7,70,530 on 27/08/2020 400 shares sold at a value of Rs. 6,15,500 on 28/08/2020

	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	September 04, 2020 September 05, 2020
4	Details of violations observed under Code of Conduct	Delay in intimation to the Company
5	Action taken by Listed company/ Intermediary/ Fiduciary	Warning letter has been issued to the Designated Person
6	Reasons recorded in writing for taking action stated above	As per the Company's code of conduct- Prevention of Insider Trading Policy
7	Details of the previous instances of violations, if any, since last financial year	NA
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment  <b><u>In case of online:</u></b> Name of the transferor Bank Name, branch and Account number UTR/Transaction reference Number Transaction date Transaction Amount (in Rs.)  <b><u>In case of Demand Draft (DD)</u></b> Bank Name and branch DD Number DD date DD amount (in Rs.)	NA
9	Any other relevant information	NA

**Date and Place:** September 05, 2020 and Jaipur  
**Name and Signature of Compliance Officer:** Sharad Pathak  
**PAN:** BAOPP5646N  
**Email ID:** [sharad.pathak@aavas.in](mailto:sharad.pathak@aavas.in)


