



## Smiths & Founders (India) Limited

SFIL/COSEC/BSE/Cert. 40(9)/HY-02/2020-21

Thursday, 15<sup>th</sup> April, 2021

The Department of Corporate Relations,  
Bombay Stock Exchange Limited  
P.J. Towersre, 25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400 001

Dear Sirs,

**Sub: Certificate Under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: Scrip Code: 513418**

Please find enclosed, Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 31.03.2021, dated 14.04.2021, issued by Mr. K N Nagesha Rao, Company Secretary in Practice.

Please take the same on record.

Thank you,

Yours truly,  
For Smiths & Founders (India) Limited

Roopashree B Shettigar  
Company Secretary & Compliance Officer  
Encl: as above





K N Nagesha Rao BCom, DSP, DEE, DBA, LLB, FCS, FCMA

Practising Company Secretary

"Sumukha"

22, 5<sup>th</sup> Cross, I Stage, Grihalaxmi  
Colony, Basaveshwaranagar,  
Bengaluru 560079

① 9845050333; 08023234353

✉ nageshknn@gmail.com

Certificate under Regulation 40(9) of the Securities and  
Exchange Board of India (Listing Obligations and  
Disclosure Requirements) Regulations, 2015 for the half  
year ended ~~30<sup>th</sup>~~ <sup>31<sup>st</sup></sup> March, 2021



Based on the information and details provided to me by **Smiths & Founders (India) Limited** with CIN No. L85110KA1990PLC011303 ("the Company"), I hereby certify that during the period from 1st October 2020 to 31<sup>st</sup> March 2021 the Company did not receive any request for physical share transfers. I further certify that during the above period the Company did not issue any new share certificate upon endorsement, sub-division, consolidation, renewal, or in exchange of old certificates held by its shareholders in physical mode.



K N Nagesha Rao  
Practising Company Secretary

FCS 3000 CP 12861

Bengaluru

14<sup>th</sup> April, 2021

UDIN No.F003000C000086820

Peer Review Unique ID No.I2014KR1122000