



# UTTAM SUGAR MILLS LIMITED

Corporate Office : A-2E, III Floor, CMA Tower, Sector-24, NOIDA-201 301 Uttar Pradesh, India  
Telephone : 0120-4525000 E-mail : uttamsugarmoida@uttamsugar.com

November 20, 2023

**National Stock Exchange of India Ltd.  
Listing Department**  
"Exchange Plaza", Bandra-Kurla Complex,  
Bandra (E), Mumbai – 400 051

**B.S.E. Limited  
Listing Department**  
P.J. Tower,  
Dalal Street,  
Mumbai – 400 001  
**Ref. – Scrip Code - 532729**

**Ref. :- Symbol – UTTAMSUGAR**

Dear Sirs,

**Sub. :- Disclosure under Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

This is to inform you that the Company has received disclosures dt. 20<sup>th</sup> November, 2023 under Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 from the following persons in respect of inter-se transfer (Gift) amongst Promoter/Promoter group through off-market transaction:-

| Disclosure- 1 |                     |                       |   |
|---------------|---------------------|-----------------------|---|
| S.No.         | Transferor          | Acquirer              | Details of Transaction  |
| 1.            | Mrs. Ranjana Chopra | Mr. Raj Kumar Adlakha | Transfer of 370000 Equity Shares by way of Gift to Mr. Raj Kumar Adlakha. |

| Disclosure- 2 |                       |                     |  |
|---------------|-----------------------|---------------------|--|
| S.No.         | Acquirer              | Transferor          | Details of Transaction   |
| 1             | Mr. Raj Kumar Adlakha | Mrs. Ranjana Chopra | Acquisition of 370000 Equity Shares by way of Gift from Mrs. Ranjana Chopra. |

In terms of the provisions of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are sending herewith the abovesaid disclosure for your records.

Thanking you.

Yours' faithfully,

**For Uttam Sugar Mills Limited**

  
**(RAJESH GARG)**

**Company Secretary & Compliance Officer**



**Encl. :- As above**

Disclosure - 1

Date : 20.11.2023

To,

Company Secretary  
**Uttam Sugar Mills Limited**  
Village Libberheri, Tehsil Roorkee,  
District Haridwar,  
Uttarakhand-247667

Dear Sirs,

**Sub.: Disclosure under Regulation 7(2) read with Regulation 6(2) of SEBI  
(Prohibition of Insider Trading) Regulations, 2015**

Please find enclosed herewith Disclosure under regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 for transfer of 3,70,000 Equity Shares (0.970%) having face value of Rs. 10/- each in Uttam Sugar Mills Limited as inter-se transfer (Gift) through an off-market transaction.

We hope you will find the same in order. You are requested to take above information on your records.

Thanking you.

Yours faithfully,



**Ranjana Chopra**  
**[Promoter Group]**  
**Add: B-231-D, II<sup>nd</sup> Floor, Greater**  
**Kailash Part-I, New Delhi - 110 048**

Encl. :- As above.

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]**

To,  
The Board of Directors  
Uttam Sugar Mills Limited  
A-2E, 3<sup>rd</sup> Floor Sector 24,  
CMA Tower, Noida-201301

ISIN-INE786F01031

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

| Name, PAN, CIN/DIN, & address with contact nos.  | Category of Person (Promoter/member of the promoter group/designated person/Director s/immediate relative to/others etc.) | Securities held prior to acquisition/ disposal  |                            | Securities acquired/Disposed  |                |       |  |
|--|---|---|----------------------------|---|----------------|-------|--|
|  |   | Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlements etc.) | No. and % of share holding | Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.) | No.            | Value | Transaction Type (Purchase/sale Pledge / Revocation / Invocation/ Others please specify) |
| 1  | 2   | 3   | 4                          | 5   | 6              | 7     | 8  |
| Ranjana Chopra<br>PAN- AAFPC2296R<br>ADD- B-231-D, II <sup>nd</sup> Floor, Greater Kailash Part-I, New Delhi - 110 048 | Member of Promoter Group  | 373301  | 0.98%                      | Equity Shares   | 370000 (0.97%) | -     | Gift   |

| Securities held post acquisition/ disposal  |                           | Date of allotment advice/ acquisition of shares/ disposal of shares, specify |            | Date of intimation to company | Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.) | Exchange on which the trade was executed |
|---|---------------------------|--|------------|-------------------------------|---|--|
| Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.) | No. and % of shareholding | From   | To         |                               |   |  |
| 9   | 10                        | 11   | 12         | 13                            | 14  | 15                                       |
| Equity Shares   | 3301 (0.00%)              | 17.11.2023   | 17.11.2023 | 20.11.2023                    | off market (Inter-se transfer)  | NA                                       |

**Note:**

(i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.


(ii) Value of transaction excludes taxes/brokerage/any other charges

*Ranjana Chopra*

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

| Trading in derivatives (Specify type of contract, Futures or Options etc) |                         |                |  |                |  | Exchange on which the trade was executed |
|---|-------------------------|----------------|--|----------------|--|--|
| Type of contract  | Contract specifications | Buy            |  | Sale           |  |  |
|   |                         | Notional Value | Number of units (contracts * lot size) | Notional Value | Number of units (contracts * lot size) |  |
| 16  | 17                      | 18             | 19                                     | 20             | 21                                     | 22                                       |
| -----NOT APPLICABLE-----  |                         |                |  |                |  |  |

*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*

  
**Ranjana Chopra**  
**Promoter Group**

**Date: 20.11.2023**  
**Place: Delhi**

Disclosure - 2

Date : 20.11.2023

To,

Company Secretary  
**Uttam Sugar Mills Limited**  
Village Libberheri, Tehsil Roorkee,  
District Haridwar,  
Uttarakhand-247667

Dear Sirs,

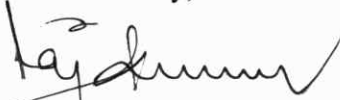
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We hope you will find the same in order. You are requested to take above information on your records.

Thanking you.

Yours faithfully,



**Raj Kumar Adlakha**  
[Promoter]  
Add: W 4/4 (Old No. 32),  
Western Avenue, Sainik Farm,  
Khanpur, Delhi -110062

Encl. :- As above.

**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015**  
**[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]**

To,  
The Board of Directors  
Uttam Sugar Mills Limited  
A-2E, 3<sup>rd</sup> Floor Sector 24,  
CMA Tower, Noida-201301

ISIN-INE786F01031

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| 1  | 2   | 3   | 4                          | 5   | 6                 | 7     | 8  |
| Raj Kumar Adlakha<br>PAN- AJZPK7896C<br>ADD- W 4/4 (Old No. 32), Western Avenue, Sainik Farm, Khanpur, Delhi -110062 | Promoter  | 1674610   | 4.39%                      | Equity Shares   | 370000<br>(0.97%) | -     | Gift   |

| Securities held post acquisition/ disposal  |                           | Date of allotment advice/ acquisition of shares/ disposal of shares, specify |            | Date of intimation to company | Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.) | Exchange on which the trade was executed |
|---|---------------------------|--|------------|-------------------------------|---|--|
| Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.) | No. and % of shareholding | From   | To         |                               |   |  |
| 9   | 10                        | 11   | 12         | 13                            | 14  | 15                                       |
| Equity Shares   | 2044610<br>(5.36%)        | 17.11.2023   | 17.11.2023 | 20.11.2023                    | off market<br>(Inter-se transfer)   | NA                                       |

**Note:**

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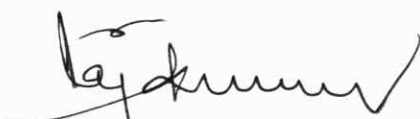
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*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*



**Raj Kumar Adlakha**  
Promoter

**Date: 20.11.2023**  
**Place: Delhi**