

# South West Pinnacle

ISO 9001: 2015 Certified Company

South West Pinnacle Exploration Ltd  
(Formerly known as South West Pinnacle Exploration Pvt Ltd)

CIN NO.: L13203HR2006PLC049480

**Regd & Corp Office:**

Ground Floor, Plot No.15,  
Sector-44, Gurgaon 122003, Haryana, India.

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F: +91 124 4235402

E: [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

W: [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

**Date: 23.04.2024**

To, Listing Department National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor Plot No. C/1, G Block, Bandra- Kurla Complex Mumbai 400051 SYMBOL: SOUTHWEST	To, Listing Department Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400001 Script Code: 543986
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**Subject: Compliance Certificate as per Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the Certificate received from KKS & Associates, Practicing Company Secretary for the year ended on 31<sup>st</sup> March 2024.

You are requested to kindly take the enclosed certificate on your record.

Thanking You

Yours Faithfully

**For South West Pinnacle Exploration Limited**

**Piyush Jain**  
**Managing Director**

Encl: a/a



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

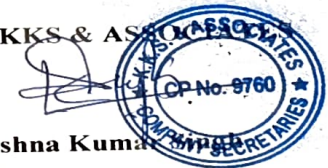
**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SOUTH WEST PINNACLE EXPLORATION LIMITED** maintained by (M/s **LINK INTIME INDIA PRIVATE LIMITED**) pertaining to transfer /subdivision /consolidation /renewal and split /exchange or endorsement of calls /allotment monies /deletion / transmission / transposition of equity shares of the company for the period from **1<sup>st</sup> April, 2023** to **31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **SOUTH WEST PINNACLE EXPLORATION LIMITED** with National Stock Exchange and Bombay Stock Exchange based on the information provided by the Company I hereby certify that

- A. The Company has not received any request for transfer/ transmission of shares during the period from **1<sup>st</sup> April, 2023** to **31<sup>st</sup> March, 2024**.
- B. The Company has not received the request for sub-division, consolidation, renewal exchange of duplicate certificate and endorsement of calls/allotment monies during the period from **1<sup>st</sup> April, 2023** to **31<sup>st</sup> March, 2024**.

Date: 23.04.24  
Place: New Delhi

For KKS & ASSOCIATES



Krishna Kumar  
Prop.  
Membership No: F8493  
COP No: 9760  
UDIN: F008493F000217011