Swan Energy Limited

(Formerly Swan Mills Limited)

6. Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001. Tel.: 022-4058 7300 • Fax: +91-22-4058 7360 • Email: swan@swan.co.in CIN. L17100MH1909PLC000294

Swan/nse/bse

7th April, 2021

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra - Kurla Complex, Bandra-east,

Listing Department Bombay Stock Exchange Limited P.J. Tower, Dalal Street, Fort Mumbai – 400 001

Dear Sir/Madam,

Sub: Certificate under regulation 7(3) of LODR for the half year ended 31st March, 2021

We hereby certify that, during the half year ended 31st March, 2021, all activities of the Company in relation to both physical and electronic share transfer facility were being maintained by M/s Purva Sharegistry (India) Private Limited, a Registrar to an issue and share transfer agent, registered with SEBI.

Thanking you,

Yours faithfully,

(Arun S. Agarwal) **Compliance Officer**

For Swan Energy Limited

For Purva Sharegistry (India) Pvt Ltd

Digitally signed by

DEEPALI DHURI Date: 2021.04.09

11:58:17 +05'30'

Director / Authorised Signatory