## SW INVESTMENTS LIMITED

Regd. Office: 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 4000 57. CIN No.:L65990MH1980PLC02333 Tel: +22 4287 7800 Fax: +22 4287 7890 Email Id: cosec@sw1india.com.Website: www. sw1india.com

SWIL/BSE/4/21-22

Date: 12th April, 2021

To Corporate Relation Department BSE Limited P. J. Tower, Dalal Street, Mumbai – 400 001 Scrip Code: 503659

Sub: Submission of half yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2021

Sir,

Please find attached herewith Half Yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March, 2021.

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

For SW Investments Limited

Sapna Patel Company Secretary Encl: as above



*Veeraraghavan.N* Practicing Company Secretary B. Sc., LLB., A.C.S.

## <u>CERTIFICATE UNDER REGULATION 40 OF THE SECURITIES AND</u> <u>EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE</u> <u>REQUIREMENTS) REGULATIONS, 2015</u>

## FOR THE HALF YEAR ENDED ON 31<sup>st</sup> MARCH, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. SW Investments Limited maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has not received any application / document for registration of transfer, subdivision, consolidation during the half year ended on 31<sup>st</sup> March, 2021.



Veeraraghavan.N C. P .No. 4334 UDIN: A006911C000060668

Date: 12<sup>th</sup> April, 2021